

#### EXAMENSARBETEN I MATEMATIK

MATEMATISKA INSTITUTIONEN, STOCKHOLMS UNIVERSITET

Rings of arithmetic functions with regular convolutions

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Elin Gawell

2005 - No 8

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Elin Gawell				
Examensarbete i matematik 20 poäng				
Handledare: Jan Snellman				
2005				

#### Abstract

The term regular convolution was introduced by Narkiewicz in 1963. A regular convolution,  $*_A$  is defined by

$$f *_A g(n) = \sum_{d \in A(n)} f(d)g(\frac{n}{d})$$
 (1)

where A(n) is a set of divisors to the natural number n, such that the ring of arithmetic functions with this convolution as multiplication is

- (a) commutative
- (b) associative
- (c) has a unit element
- (d) preserves multiplicativity
- (e) the inverse function of  $f(n) \equiv 1$ , called the "Möbius-function",  $\mu$ , defined by  $f *_A \mu = e_1$ , take only the values 0 and -1 for prime powers

The most well-known examples of regular convolutions are the Dirichlet convolution and the unitary convolution. Each convolutions is determined by a family of partitions of the natural numbers into arithmetic progressions (which all contains 0). By regarding these progressions as incidence algebras we find the previously undescribed ternary convolution.

We find an explicit formula for the inverse of invertible elements in the ring with ternary convolution which accually works in rings with any regular convolution.

We also show that there exist only one regular convolution on the ring of arithmetic functions such that

$$f(n) = \begin{cases} c \in \mathbb{C} & \text{if } n \text{ square-free} \\ 0 & \text{otherwise} \end{cases}$$

The work also treats restrictions to the subring  $\Gamma[V] = \{f : \mathbb{N}^+ \to \mathbb{C} | f(n) = 0, n \notin V\}$  and describe how these parts can be joined together to the whole ring and somethings about the [n]-truncation.

## Acknowledgements

I want to thank my tutor Jan Snellman for ideas, guidance and support. I also want to thank Rikard Bøgvad for pointing out some necessary improvements and mistakes in my report.

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## Chapter 1

## **Preliminaries**

#### 1.1 Definitions

Let  $\mathbb{N}$  and  $\mathbb{N}^+$  denote the non-negative respectively the positive integers. We denote the *i*'th prime number by  $p_i$ , and the set of all prime numbers by  $\mathbb{P}$ . Let the  $\mathbb{C}$ -vector space, with coordinatewise addition and scalar multiplication, of arithmetic functions  $f: \mathbb{N}^+ \to \mathbb{C}$  be denoted  $\Gamma$ .

**Definition 1.1.** Let  $f \in \Gamma \setminus \{0\}$ . Define the *support* of f as

$$\operatorname{supp}(f) = \{ n \in \mathbb{N}^+ | f(n) \neq 0 \}$$
(1.1)

Define the *order* of a non-zero element by

$$\operatorname{ord}(f) = \min \operatorname{supp}(f) \tag{1.2}$$

Define the norm of f as

$$|f| = \frac{1}{\operatorname{ord}(f)} \tag{1.3}$$

By definition the zero element has order infinity and norm 0. This is an ultra-norm on  $\Gamma$ .

If we give  $\mathbb{C}$  the trivial norm, by for  $c \in \mathbb{C}$  setting

$$|c| = \begin{cases} 1 & c \neq 0 \\ 0 & c = 0 \end{cases}$$

then  $\Gamma$  becomes a normed vector space over  $\mathbb{C}$ .

**Definition 1.2.** For  $m \in \mathbb{N}^+$ , define the *prime support* of m as

$$psupp(m) = \{ p \in \mathbb{P} \mid p|m \}$$

and (when m > 1) the leading prime as

$$lp(m) = min psupp(m)$$

For  $n \in \mathbb{N}^+$ , let

$$\mathbb{N}^{|n|} = \{k \in \mathbb{N}^+ | lp(k) = p_n \}$$

**Definition 1.3.** For any  $n \in \mathbb{N}^+$  we let  $e_n$  be the characteristic function on  $\{n\}$ , i.e.

$$e_n(k) = \begin{cases} 1 & k = n \\ 0 & \text{otherwise} \end{cases}$$

It is clear that any  $f \in \Gamma$  be be written as

$$f = \sum_{n=1}^{\infty} f(n)e_n \tag{1.4}$$

This sum is convergent with respect to the norm.

**Definition 1.4.** Let  $f \in \Gamma$  be a non-unit. The *canonical decomposition* of f is the unique way of expressing f as a convergent sum

$$f = \sum_{i=1}^{\infty} f_i, \quad f_i = \sum_{k \in \mathbb{N}^{|i|}} f(k)e_k$$

The element f is said to be of polynomial type if all but finitely many of the  $f_i$ 's are zero. In that case, the largest N such that  $f_N \neq 0$  is called the filtration degree of f.

**Definition 1.5.** An arithmetic function f is multiplicative if f(n)f(m) = f(mn) for (m,n) = 1

#### 1.2 Regular convolutions

The term regular convolution was introduced by Narkiewicz in [1], 1963.

**Definition 1.6.** A convolution,  $*_A$ , is defined by

$$f *_A g(n) = \sum_{d \in A(n)} f(d)g(\frac{n}{d})$$

$$\tag{1.5}$$

where A(n) is a set of divisors to the natural number n.

In [1] Narkiewicz states and proves the following propositions, which all have to apply if the convolution is regular.

**Proposition 1.7.** The convolution  $*_A$  is associative if and only if the following two conditions are equivalent:

(a) 
$$d \in A(m), \ m \in A(n)$$

(b) 
$$d \in A(n), \ \frac{m}{d} \in A(\frac{n}{d})$$

**Proposition 1.8.** The convolution  $*_A$  is commutative if and only if  $d \in A(n)$  implies that  $\frac{n}{d} \in A(n)$ .

**Proposition 1.9.** The convolution  $*_A$  has a unit element if and only if for every n,  $\{1, n\} \subset A(n)$ .

The unit element is

$$e_1(n) = \begin{cases} 1 & \text{if } n = 1\\ 0 & \text{otherwise} \end{cases}$$

**Definition 1.10.** A convolution is *multiplicative* if from the multiplicativity of the factors follows the multiplicativity of the convolution product, i.e. if f and g are multiplicative, then so is  $f *_A g$ .

**Proposition 1.11.** The convolution defined by (1.5) is multiplicative if and only if  $A(mn) = A(m) \times A(n)$ , for (m,n) = 1. (Here  $B \times C$  denote the set of all integers which can be represented in the form  $bc, b \in B, c \in C$ )

**Definition 1.12.** A convolution,  $*_A$  is *regular* if the ring of arithmetic functions with this convolution as multiplication is

- (a) commutative
- (b) associative
- (c) has a unit element
- (d) preserves multiplicativity
- (e) the inverse function of  $f(n) \equiv 1$ , called the "Möbius-function",  $\mu$ , defined by  $f *_A \mu = e_1$ , take only the values 0 and -1 for prime powers

The most well-known examples of regular convolutions is the Dirichlet convolution (where A(n) is the set of all divisors of n) and the unitary convolution (where  $A(n) = \{d | d | n \text{ and } (d, \frac{n}{d}) = 1\}$ ).

A consequence of condition (e) in Definition 1.12 is the following theorem, proven in [1]

**Theorem 1.13.** The convolution satisfying condition (a)-(d) is regular if and only if for every prime power  $p^k$  the set  $A(p^k)$  is of the form:  $1, p^t, p^{2t}, \ldots, p^{rt} = p^k$ , with some  $t \neq 0$ , and, moreover,  $p^t \in A(p^{2t}), p^{2t} \in A(p^{3t}), \ldots$ 

This result leads to the following theorem, also proven by Narkiewicz in [1].

**Theorem 1.14.** A convolution defined by (1.5) is regular if and only if there is a family  $\{\pi_p | p \in \mathbb{P}\}\$  of partitions of  $\mathbb{N}$  into (finite or infinite) arithmetic progressions (which all contains 0), such that

$$p_1^{a_1}\cdots p_r^{a_r}\in A(p_1^{b_1}\cdots p_r^{b_r})$$

if and only if

$$a_i \leq b_i$$

for all  $1 \le i \le r$  and  $a_i$  and  $b_i$  belong to the same progression in the partition  $\pi_{p_i}$ .

The two extremal cases are the Dirichlet convolution, where all partitions have only one (infinite) block, and unitary convolution, where all blocks have size 1.

By theorem 1.14 we get the following

$$e_n *_A e_m = \begin{cases} e_{mn} & \text{if } m \in A(mn) \text{ and } n \in A(mn) \\ 0 & \text{otherwise} \end{cases}$$

**Definition 1.15.**  $p^a$  is called a primitive prime power (with respect to the convolution) if a is the first number (besides 0) in some progression in  $\pi_p$ .

The set of primitive prime powers is denoted by  $\mathbb{PP}$ .

**Proposition 1.16.** With  $*_A$  as multiplication  $\Gamma$  becomes a normed  $\mathbb{C}$ -algebra.

*Proof.* Take  $f, g \in \Gamma$ . Let  $\operatorname{ord}(f) = k$  and  $\operatorname{ord}(g) = l$ . Then  $f = \sum_{n=k}^{\infty} f(n)e_n$  and  $g = \sum_{n=l}^{\infty} g(n)e_n$ ,  $f(k) \neq 0$ ,  $g(l) \neq 0$ . But then it is clear that

$$f *_A g = f(k)g(l)e_{kl} + \text{terms of higher order}$$

and hence  $|f *_A g| = \frac{1}{kl} \le \frac{1}{k} \frac{1}{l} = |f||g|$ .

## Chapter 2

## Restrictions

**Definition 2.1.** For  $V \subset \mathbb{N}^+$ , we let  $\Gamma[V]$  be the closed subvector space of  $\Gamma$  consisting of functions  $f: \mathbb{N}^+ \to \mathbb{C}$  with support in V. Suppose that  $1 \in V$ . If A gives a regular convolution product  $*_A$  on  $\Gamma$ , we use this to make  $\Gamma_A[V]$  a topological algebra by

$$f * g(n) = \begin{cases} f *_A g(n) & \text{if } n \in V \\ 0 & \text{otherwise} \end{cases}$$
 (2.1)

Theorem 2.2. If

$$V_1 \subset V_2 \subset V_3 \subset \cdots \subset W \subset \mathbb{N}^+, \quad \bigcup V_i = W$$

then there are natural continuous  $\mathbb{C}$ -linear surjections

$$\Gamma[V_1] \leftarrow \Gamma[V_2] \leftarrow \Gamma[V_3] \leftarrow \cdots \leftarrow \Gamma[W] \leftarrow \Gamma,$$
 (2.2)

and

$$\underline{\varprojlim} \Gamma[V_i] \cong \Gamma[W]$$
(2.3)

*Proof.* Use the notation in (1.4), i.e.

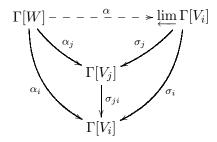
$$f = \sum_{n=1}^{\infty} f(n)e_n$$

The natural homomorphisms

$$\sigma_{ji}:\Gamma[V_j]\to\Gamma[V_i] \qquad i\le j$$

maps  $\sum_{n\in V_j} f(n)e_n$  to  $\sum_{n\in V_i} f(n)e_n$ . These are clearly surjections.

Now we can draw the following diagram:



The elements in  $\varprojlim \Gamma[V_i]$  are of the form  $(f_1, f_2, f_3, \dots)$  with  $f_i \in \Gamma[V_i]$  and  $\sigma_{ji}(f_j) = f_i$ . Define

$$\sigma_i: \lim \Gamma[V_i] \to \Gamma[V_i]$$

 $\sigma_i$  maps  $(f_0, f_1, f_2, \dots) \in \varprojlim \Gamma[V_i]$  to  $f_i \in \Gamma[V_i]$ . This gives that  $\sigma_i = \sigma_{ji} \circ \sigma_j$ . Define

$$\alpha_i: \Gamma[W] \to \Gamma[V_i]$$

like  $\sigma_{ji}$ , i.e. maps  $f = \sum_{n=0}^{\infty} f(n)e_n$  to  $\sum_{n \in V_i} f(n)e_n$ . Then, if  $i \leq j$ ,  $\alpha_i = \sigma_{ji} \circ \alpha_j$ .

This induces a homomorphism  $\alpha: \Gamma[W] \to \varprojlim \Gamma[V_i]$  such that the diagram commutes.  $\alpha$  maps  $f_W \in \Gamma[W]$  to  $f = (f_1, f_2, f_3, \dots) \in \varprojlim \Gamma[V_i]$ .  $\alpha$  is clearly injective, since the only function that maps to 0 is the 0-function. To show that  $\alpha$  is also surjective, take  $f \in \varprojlim \Gamma[V_i]$ .  $f = (f_1, f_2, f_3, \dots)$  with  $f_i \in V_i$  and  $\sigma_{ji}(f_j) = f_i$  i < j, We want to show that this  $f \in \varprojlim \Gamma[V_i]$  corresponds to a unique  $f_W \in \Gamma[W]$ . Since  $f_W \in \Gamma[W]$  is determined by it's values on W, take  $w \in W$ . Since  $\bigcup V_j = W$  and  $V_1 \subset V_2 \subset \dots, w \in V_j$  for j large enough. Take this j and define  $f_W(w) = f_j(w)$ . If k > j then  $f_k(w) = f_j(w)$  since  $\sigma_{kj}(f_k) = f_j$ . Hence  $f_W$  is well-defined. From this construction follows that  $\alpha_i(f_W) = f_i$ . Hence  $\alpha$  is surjective.

**Proposition 2.3.** Let  $p \in \mathbb{P}$  and let  $B_1, B_2 \in \pi_p$ ; let  $B'_i = \{p^j | j \in B_i\}$ . Then the following hold:

- (a)  $\Gamma[B_i'] \cong \mathbb{C}[[x]]$  if  $B_i'$  is infinite, and  $\Gamma[B_i'] \cong \frac{\mathbb{C}[x]}{x^{\ell}}$  if  $B_i'$  has  $\ell$  elements.
- (b) If  $B_1 \neq B_2$  then  $\Gamma[B_1' \cup B_2'] \cong \Gamma[B_1'] \times_{\mathbb{C}} \Gamma[B_2']$ , where  $R \times_{\mathbb{C}} S$  is the fibre product of the augmented  $\mathbb{C}$ -algebras R and S, i.e. the pullback of the diagram  $R \to \mathbb{C} \leftarrow S$ .
- (c)  $\Gamma[\{p^i|i\geq 0\}]\cong \varprojlim (\times_{\mathbb{C}}\Gamma[B'_j])$ , the inverse limit over n of the fibre products of the first n.

Note that the inverse limit is per definition isomorphic to the infinite fiber product  $\times_{\mathbb{C}(B_i \in \pi_p)} \Gamma[B'_i]$ .

Proof. (a)  $B_i \in \pi_p$  means that  $B_i = \{0, a, 2a, \dots\}$ , or  $B_i = \{0, a, 2a, \dots, ra\}$ , for some a. Thus  $p^j \in B'_i$  implies that j = ka for some  $k \in \mathbb{N}$ . Another way of expressing the same thing would be to say that  $p^j = (p^a)^k$  for some  $k \in \mathbb{N}$ .  $\Gamma[B'_i]$  contains the vector space with basis  $\{e_{p^j}|j \in B_i\}$ . First let  $B_i$  be infinite. Define

$$\varphi: \Gamma[B_i'] \to \mathbb{C}[[x]]$$
$$e_{p^j} \mapsto x^{\frac{j}{a}}$$

This is a homomorphism since  $j,l \in B_i$  and  $B_i$  infinite implies that  $e_{p^j} * e_{p^m} = e_{p^{j+m}}$  and  $\varphi(e_{p^{j+m}}) = x^{\frac{j+m}{a}} = x^{\frac{j}{a}} x^{\frac{m}{a}} = \varphi(e_{p^j}) \varphi(e_{p^m})$ . It is well defined, and since the only thing that maps to 0 is 0, it's injective. Since  $B_i$  is infinite for any  $k \in \mathbb{N}$  there exists a  $j \in B_i$  such that j = ka, i.e. for any  $x^k$  there exists a function  $e_{p^j}$  such that  $\varphi(e_{p^j}) = x^{\frac{j}{a}} = x^k$ . This, and the fact that  $\Gamma[B_i']$  also contains infinite sums, makes  $\varphi$  surjective, and hence it is an isomorphism. So in this case  $\Gamma[B_i'] \cong \mathbb{C}[[x]]$ .

Now let  $B_i'$  be finite with  $\ell$  elements. Then  $\Gamma[B_i']$  has a basis  $\{e_1, e_{p^a}, e_{p^{2a}}, \dots, e_{p^{(\ell-1)a}}\}$ . Now define the following homomorphism

$$\varphi: \Gamma[B_i'] \to \frac{\mathbb{C}[x]}{x^{\ell}}$$
$$e_{p^j} \mapsto x^{\frac{j}{a}}$$

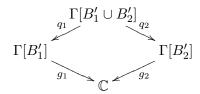
If  $j,m\in B_i$ , but  $j+m\notin B_i$ , i.e.  $j+m\geq \ell a$ , then  $e_{p^j}*e_{p^m}=0$ , so in order to make  $\varphi$  well defined,  $\varphi(e_{p^j})\varphi(e_{p^m})=0$ . Assume  $j+m=\ell a+n$ . Since  $\varphi(e_{p^j})\varphi(e_{p^m})=x^{\frac{j}{a}}x^{\frac{m}{a}}=x^{\frac{j+m}{a}}=x^{\frac{\ell a+n}{a}}=x^\ell x^{\frac{n}{a}}=0\cdot x^{\frac{n}{a}}=0$ ,  $\varphi$  is well defined and as above we get that  $\Gamma[B_i']\cong \frac{\mathbb{C}[x]}{x^\ell}$ .

#### (b) Define

$$g_1: \Gamma[B_1'] \to \mathbb{C}$$
  
 $f \mapsto f(1)$ 

Define  $g_2$  analogous.  $g_1(e_{p^i}*e_{p^j})=g_1(e_{p^{i+j}})=e_{p^{i+j}}(1)=0=e_{p^i}(1)e_{p^j}(1)$  if  $i+j\in B_1, i+j\neq 0$  and  $g_1(e_{p^i}*e_{p^j})=g_1(0)=0$  if  $i+j\notin B_1$ . If i+j=0 then i=j=0 and  $g_1(e_{p^0}*e_{p^0})=g_1(e_1*e_1)=g_1(e_1)=e_1(1)=1=1\cdot 1=g_1(e_1)g_1(e_1)$ . Thus  $g_1$  and  $g_2$  are well defined surjections.

We can now draw the following commutative diagram:



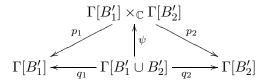
 $q_1$  and  $q_2$  are defined in the natural way:

$$q_1(\sum_{n \in B_1' \cup B_2'} f(n)e_n) = \sum_{n \in B_1'} f(n)e_n$$

We have a homomorphism

$$p_1: \Gamma[B_1'] \times_{\mathbb{C}} \Gamma[B_2'] \to \Gamma[B_1']$$
  
 $(f_1, f_2) \mapsto f_1$ 

We also have a homomorphism  $p_2$  defined analogous to  $p_1$ . This gives the induced homomorphism  $\psi$  in the following diagram:



 $\psi$  is defined in the following way:

$$\psi(\sum_{n \in B_1' \cup B_2'} f(n)e_n) = (\sum_{n \in B_1'} f(n)e_n, \sum_{n \in B_2'} f(n)e_n).$$

 $\psi$  is injective since  $\psi(f) = (0,0)$  implies that f lacks support in both  $B_1'$  and  $B_2'$ , and hence it lacks support in  $B_1' \cup B_2'$ . To show that  $\psi$  is surjective, take  $(f_1, f_2) \in \Gamma[B_1'] \times_{\mathbb{C}} \Gamma[B_2']$ . Since every  $f \in \Gamma[B_1' \cup B_2']$  is uniquely determined by the values it takes on  $B_1' \cup B_2'$ , take  $w \in B_1' \cup B_2'$ . Then there are three possibilities,  $w \in B_1' \setminus \{1\}$ ,  $w \in B_2' \setminus \{1\}$  or w = 1. If  $w \in B_1'$ , let  $f(w) = f_1(w)$ , if  $w \in B_2'$ , let  $f(w) = f_2(w)$ , if w = 1 then, since the diagram commutes  $f_1(1) = f_2(1)$  and to let  $f(1) = f_1(1) = f_2(1)$  will therefore be well-defined. Hence this f exists and is unique, hence  $\psi$  is surjective.

$$\therefore \Gamma[B_1' \cup B_2'] \cong \Gamma[B_1'] \times_{\mathbb{C}} \Gamma[B_2']$$

(c) Define the following homomorphisms

$$\sigma_{ji}: \Gamma[B'_1] \times_{\mathbb{C}} \cdots \times_{\mathbb{C}} \Gamma[B'_j] \to \Gamma[B'_1] \times_{\mathbb{C}} \cdots \times_{\mathbb{C}} \Gamma[B'_i], \quad i \leq j$$

$$\sigma_{ji} \text{ maps } (f_1, f_2, \dots, f_i, \dots, f_j) \text{ to } (f_1, f_2, \dots, f_i).$$

$$\sigma_j: \varprojlim (\times_{\mathbb{C}} \Gamma[B'_j]) \to \Gamma[B'_1] \times_{\mathbb{C}} \cdots \times_{\mathbb{C}} \Gamma[B'_j]$$

$$\sigma_j \text{ maps } (f_1, (f_k)_{k=1}^2, (f_k)_{k=1}^3, \dots) \text{ to } (f_k)_{k=1}^j.$$

$$\alpha_j: \Gamma[\{p^i | i > 0\}] \to \Gamma[B'_1] \times_{\mathbb{C}} \cdots \times_{\mathbb{C}} \Gamma[B'_j]$$

 $\alpha_j$  is defined in the same way as  $\psi$  in (b). $\alpha_j$  is clearly a surjection. These induce a homomorphism

$$\alpha: \Gamma[\{p^i|i\geq 0\}] \to \underline{\lim}(\times_{\mathbb{C}}\Gamma[B_i'])$$

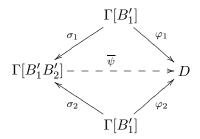
 $\alpha$  takes an element  $f' \in \Gamma[\{p^i | i \geq 0\}]$  to  $(\alpha_1(f'), \alpha_2(f'), \dots)$ . It's obvious that  $\alpha$  is injective. That  $\alpha$  is surjective can be shown in the same way as in the proof to Theorem 2.2.

$$\therefore \Gamma[\{p^i | i \ge 0\}] \cong \varprojlim (\times_{\mathbb{C}} \Gamma[B'_j])$$

**Proposition 2.4.** Let  $p, q \in \mathbb{P}, p \neq q$ . Let  $1 \in B'_1 \subset \{p^i | i \geq 0\}, 1 \in B'_2 \subset \{q^i | i \geq 0\}$  and  $B'_1 B'_2 = \{p^i q^j | p^i \in B'_1, q^j \in B'_2\}$ . Then

$$\Gamma[B_1'B_2'] \cong \Gamma[B_1'] \widehat{\otimes}_{\mathbb{C}} \Gamma[B_2']$$

as  $\mathbb{C}$ -algebras, i.e.  $\Gamma[B'_1B'_2]$  solves the following universal problem,



where  $\varphi_i: \Gamma[B_i'] \to D, i=1,2$  are bounded  $\mathbb{C}$ -algebra homomorphisms into a complete  $\mathbb{C}$ -algebra D and  $\sigma_i: \Gamma[B_i'] \to \Gamma[B_1'B_2'], i=1,2$ , are bounded  $\mathbb{C}$ -algebra homomorphisms.

If both  $\Gamma[B_1']$  and  $\Gamma[B_2']$  are finite, the complete tensor product can be replaced by ordinary tensor product over  $\mathbb{C}$ .

*Proof.* Define

$$\sigma_i: \Gamma[B_i'] \to \Gamma[B_1'B_2'], \qquad \sum_{j \in B_i'} c_j e_j \mapsto \sum_{j \in B_i'} c_j e_j, \quad i = 1, 2$$

These are obviously bounded  $\mathbb{C}$ -algebra homomorphisms.  $\Gamma[B_1'B_2']$  is obviously a complete  $\mathbb{C}$ -algebra. In order to verify the universal property for  $\Gamma[B_1'B_2']$ , let  $\Phi$  denote the  $\mathbb{C}$ -bilinear map  $\Phi: \Gamma[B_1'] \times \Gamma[B_2'] \to D, (f_1, f_2) \mapsto \varphi_1(f_1)\varphi_2(f_2)$ , which is bounded by  $|\varphi_1||\varphi_2|$ . If one can prove that this induces a unique bounded  $\mathbb{C}$ -algebra homomorphism  $\overline{\psi}$  such that the following

diagram commutes, then this  $\overline{\psi}$  will also make the diagram in the proposition commutative.

$$\Gamma[B_1'] \times \Gamma[B_2'] \xrightarrow{*} \Gamma[B_1'B_2']$$

\* denotes multiplication, i.e. the convolution. It must be verified that \* is bilinear and bounded. The bilinearity follows from the fact that \* is a regular convolution, and hence it is associative and distributive. That \* is bounded is verified by an easy calculation.

Now, let  $W \subset \Gamma[B_1'B_2']$  be the set of all elements on the form

$$\sum_{i=0}^{M} \sum_{j=0}^{N} c_{ij} e_{p^{i}q^{j}} \in \Gamma[B_{1}'B_{2}']$$

where M, N are finite. Let  $\Gamma[B'_i]^{fin} \subset \Gamma[B'_i]$  be the set of finite sums in  $\Gamma[B'_i]$ . Then we have the following diagram

$$\Gamma[B_1']^{fin} \times \Gamma[B_2']^{fin} \xrightarrow{*} W$$

Then it is clear that  $\psi(f), f \in W$  is determined by the values of  $\psi$  of the  $e_{p^iq^j}$ 's. We have that

$$\Phi(e_{p^i},e_{q^j}) = \varphi_1(e_{p^i})\varphi_2(e_{q^j})$$

and since the diagram has to be commutative we have that

$$\psi(e_{p^iq^j}) = \varphi_1(e_{p^i})\varphi_2(e_{q^j})$$

But since  $\overline{W} = \Gamma[B_1'B_2']$  we can apply proposition 6 from chapter 1.1.7 in [2] which say that there exist a unique  $\overline{\psi}$  such that this diagram commutes

$$W \xrightarrow{\psi} D$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\Gamma[B'_1 B'_2] \xrightarrow{\overline{\psi}} D$$

Hence  $\Gamma[B_1'B_2']$  solves the universal problem and hence

$$\Gamma[B_1'B_2'] \cong \Gamma[B_1'] \widehat{\otimes}_{\mathbb{C}} \Gamma[B_2']$$

If  $\Gamma[B_1']$  and  $\Gamma[B_2']$  are finite just skip the last step in the proof and it is clear that  $\Gamma[B_1'B_2'] \cong \Gamma[B_1'] \otimes_{\mathbb{C}} \Gamma[B_2']$ .

**Proposition 2.5.** Let  $\Gamma_i = \Gamma[\{p_i^j | j \geq 0\}]$ . Then

$$\Gamma \cong \varprojlim_{n \to \infty} \Gamma_1 \widehat{\otimes}_{\mathbb{C}} \dots \widehat{\otimes}_{\mathbb{C}} \Gamma_n$$

*Proof.* Let  $\Gamma_{1...k} = \Gamma[\{p_1^{j_1}, \dots p_k^{j_k}\}]$ . Then by putting  $B_i' = \{p_i^j | j \geq 0\}$  and use induction on proposition 2.4 we get that  $\Gamma_{1...k} \cong \Gamma_1 \widehat{\otimes}_{\mathbb{C}} \dots \widehat{\otimes}_{\mathbb{C}} \Gamma_k$ . But now we can apply theorem 2.2 which gives the result.

## Chapter 3

## Incidence algebras

Assume that A gives a regular convolution, and that  $W \subset \mathbb{N}^+$  contains 1. Define a partial order  $\leq = \leq_A$  on W by  $m \leq_A n$  iff  $m \in A(n)$ . Then  $W = (W, \leq_A)$  is a locally finite poset, so we can define its *incidence algebra* I(W), which consists of all  $\mathbb{C}$ -valued functions on closed intervals in W, with pointwise addition and multiplication of scalars, and with the convolution product

$$f * g([a,b]) = \sum_{a \le c \le b} f([a,c])g([c,b])$$
 (3.1)

It is given the topology of pointwise convergence.

The reduced incidence algebra Red(W) of W consists of the subalgebra of functions which take the same value on equivalent intervals, where [a, b] and [c, d] are considered equivalent if b/a = d/c.

**Theorem 3.1.** As a topological  $\mathbb{C}$ -algebra,  $\operatorname{Red}(W)$  is isomorphic to  $\Gamma[W]$ . *Proof.* 

$$Red(W) = \{ f \in I(W) | f([x_1, y_1]) = f([x_2, y_2]) \text{ if } \frac{y_1}{x_1} = \frac{y_2}{x_2} \}$$

Then  $\operatorname{Red}(W)$  is the reduced incidence algebra of W over  $\mathbb{C}$ . The mapping  $\varphi : \operatorname{Red}(W) \to \Gamma[W]$  such that

$$f \mapsto \sum_{n=1}^{\infty} f([1, n])e_n$$

is a bijection. Then, if  $f, g \in \text{Red}(W)$ 

$$(f \cdot g)([1, n]) = \sum_{d \in A(n)} f([1, d])g([d, n]) = \sum_{d \in A(n)} f([1, d])g([1, \frac{n}{d}])$$

and if

$$\left(\sum_{n=1}^{\infty} a_n e_n\right) * \left(\sum_{n=1}^{\infty} b_n e_n\right) = \sum_{n=1}^{\infty} c_n e_n$$

then

$$c_n e_n = \sum_{d \in A_n} a_d e_d * b_{\frac{n}{d}} e_{\frac{n}{d}} = \sum_{d \in A_n} a_d b_{\frac{n}{d}} e_n$$

which implies  $c_n = \sum_{d \in A_n} a_n b_{\frac{n}{d}}$ . This shows that multiplication in  $\operatorname{Red}(W)$  corresponds to multiplication in  $\Gamma[W]$ . It follows that  $\operatorname{Red}(W)$  is isomorphic to  $\Gamma[W]$ .

**Definition 3.2.**  $\oplus$  and + are operations on posets defines as follows. P+Q has the underlying set  $P \cup Q$  and the order is defined as  $u \leq v$  iff either  $u, v \in P$  and  $u \leq v$  in P, or  $u, v \in Q$  and  $u \leq v$  in Q.

 $P \oplus Q$  has  $P \cup Q$  as a underlying set.  $u \leq v$  iff either

- $u, v \in P$  and  $u \le v$  in P, or
- $u, v \in Q$  and  $u \leq v$  in Q, or
- $u \in P, v \in Q$ .

**Definition 3.3.** A underlying set B of a partially ordered set is a *chain* if for any  $u, v \in B$ , either  $u \leq v$  or  $v \leq u$ .

**Definition 3.4.** A wedge of chains is a set of chains  $\{C_i | i \in I\}$  with an element  $w \in C_i$  for all  $i \in I$ , such that  $w \le u$  for all  $u \in C_i$ .

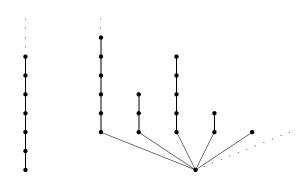


Figure 3.1: Examples of a chain and a wedge of chains

We give a counterpart to Proposition 2.3:

**Proposition 3.5.** Let  $p \in \mathbb{P}$  and let  $B_1, B_2 \in \pi_p$ ; let  $B'_i = \{p^j | j \in B_i\}$ . Partially order  $B'_i$  and  $B'_1 \cup B'_2$  as above. Let  $\mathbb{N}$  denote the natural numbers with their natural order, and [n] the induced subposet on  $\{1, 2, \ldots, n\}$ . Then the following hold:

(a)  $B'_i \cong \mathbb{N}$  if  $B'_i$  is infinite, and  $\cong [\ell]$  if  $B'_i$  has  $\ell$  elements.

(b) If  $B'_1 \neq B'_2$  then

$$B_1' \cup B_2' \cong [1] \oplus [(B_1' \setminus \{1\}) + (B_2' \setminus \{1\})]$$

i.e. the Hasse diagram of  $B_1' \cup B_2'$  is obtained by placing the diagrams of  $B_1'$  and  $B_2'$  next to each other, then identifying the two elements corresponding to 1.

- (c)  $\{p^i | i \geq 0\}$  is a wedge of chains, one for each block  $B_i \in \pi_p$ , joined together with the element 1 as the minimum.
- (d) There is at most one infinite chain in (c), and if there is an infinite chain, there is a common bound of the lengths of the other chains.

*Proof.* (a) Let  $B_i'$  be infinite. Since  $B_i \in \pi_p$ ,  $B_i' = \{1, p^a, p^{2a}, \dots\}$ . Define

$$\varphi: B_i' \to \mathbb{N}$$

$$p^{ra} \mapsto r + 1$$

This is obviously a poset isomorphism.

Let  $B_i'$  be finite. Now  $B_i' = \{1, p^a, \dots, p^{(\ell-1)a}\}$ . Define

$$\varphi: B_i' \to [\ell]$$

$$p^{ra} \mapsto r + 1$$

Also an obvious poset isomorphism.

(b)  $[1] \oplus (B'_1 \setminus \{1\} + B'_2 \setminus \{1\})$  is the poset with underlying set  $B'_1 \cup B'_2$ , and  $u \leq v$  iff either

$$-u = 1$$
, or

$$-u, v \in B'_1$$
 and  $u \le v$ ,

$$-u, v \in B_2'$$
 and  $u \le v$ .

Define

$$\psi: B_1' \cup B_2' \to [1] \oplus [(B_1' \setminus \{1\}) + (B_2' \setminus \{1\})]$$

$$B_1 \cup B_2 \ni p^{ra} \mapsto p^{ra} \in [1] \oplus [(B_1' \setminus \{1\}) + (B_2' \setminus \{1\})]$$

It is obvious from the above that the inverse of this bijective homomorphism preserves the order. Hence it's an poset isomorphism.

(c) Follows from theorem 2 in [1].

(d) Assume that the diagram has two infinite chains,  $B'_1$  and  $B'_2$ . Then

$$B_1 = \{0, a, 2a, \dots\}$$
  
 $B_2 = \{0, b, 2b, \dots\}$ 

But since ba = ab and  $ba \in B_1$ ,  $ab \in B_2$  we have a contradiction.

Assume that the diagram has one infinite chain, say  $B'_1$ . Let  $B_1 = \{0, a, 2a, \dots\}$ . Then the common bound of length of the other chains have to be a because if a chain,  $B'_i$ , has length a + 1 then  $B_i = \{0, b, 2b, \dots, ab\}$  and then it would intersect  $B_1$ .

**Proposition 3.6.** If all chains in a Hasse diagram of posets  $\{p^i|i\geq 0\}$  have the same length, then the length have to be 2, 3 or the diagram will be composed of only one infinite chain.

*Proof.* We already know the existence of a convolution with all chains of length 2, namely the unitary convolution. Therefore this proof considers only wedges with chains of length > 2.

The case with only one infinite chain is the well-known Dirichlet convolution so the rest of the proof only deals with finite chains.

Let all chains be of length r > 2. Then the chains are constructed as follows

$$\{0, 1, 2, \dots, r-1\}$$

$$\{0, r, 2r, \dots, (r-1)r\}$$

$$\{0, r+1, 2(r+1), \dots, (r-1)(r+1)\}$$

$$\dots$$

$$\{0, s, 2s, \dots, rs\}$$

where s is the first number not in any previous chain.

Assume r is even, then r > 3, so there exists chains with r and r + 2 as first non-zero element. r and r + 2 are even and hence we can factor out 2 and their least common multiple will be  $\frac{r(r+2)}{2}$ . But since both  $\frac{r}{2}$  and  $\frac{r+2}{2} = \frac{r}{2} + 1$  are less than r - 1 the least common multiple will be in both chains and hence the only wedge of chains where all chains are of the same even length is the unitary case.

Now assume  $r \neq 3$  is odd. Consider r+1 and r+3. There exists chains with r+1 and r+3 as first non-zero element. The least common multiple of r+1 and r+3 is  $\frac{(r+1)(r+3)}{2}$ . Since both  $\frac{r+1}{2}$  and  $\frac{r+3}{2}$  are  $\leq r-1$  the least common multiple will be in both chains and hence there exist no diagram with all chains of the same odd length > 3.

When r = 3 the chains will be:

 $\{0, 1, 2\} \\
 \{0, 3, 6\} \\
 \{0, 4, 8\} \\
 \{0, 5, 10\} \\
 \{0, 9, 18\} \\
 \dots$ 

It is clear that these chains will never intersect, outside 0, because if we continue to take the next number not in any previous chain, say s, then 2s will clearly not be in any previous chain, since  $2s \neq 2t$  if  $s \neq t$ .

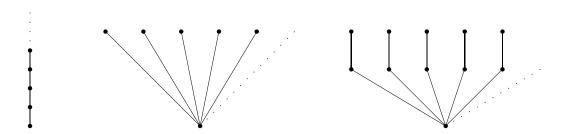


Figure 3.2: Posets of Dirirchlet, unitary and ternary convolution, restricted to powers of a single prime

**Proposition 3.7.** All diagrams, except for the one with just one chain of infinite length (i.e. the Dirichlet convolution), must contain chains of length 2 or 3.

*Proof.* Assume that the diagram does not contain any chains of length 2 or 3. Let the chain containing 1 have length r. r is finite, since otherwise we have the Dirichlet case and moreover  $r \geq 4$ , since the diagram doesn't have chains of length 2 or 3. Then we will have the chains

$$\begin{cases} 0,1,\ldots,r-1 \\ \{0,r,\ldots,sr \} \\ \{0,r+1,\ldots,t(r+1) \} \\ \{0,r+2,\ldots,u(r+2) \} \\ \{0,r+3,\ldots,v(r+3) \} \\ \ldots \end{cases}$$

with  $s, t, u, v, \dots \geq 3$ . But then it follows as in the proof of proposition 3.6 that two chains have some element in common, which is a contradiction.  $\square$ 

**Proposition 3.8.** Let  $p, q \in \mathbb{P}$ ,  $p \neq q$ . Let  $1 \in B_1 \subset \{p^i | i \geq 0\}$ ,  $1 \in B_2 \subset \{q^i | i \geq 0\}$ . Then

$$B_1B_2 \cong B_1 \times B_2,$$

where the  $\times$  denotes the Cartesian product of the posets  $B_1$  and  $B_2$ .

*Proof.* The order in  $B_1B_2$  is defined as usual, i.e.  $p^aq^b \ge p^rq^s$  iff  $p^a \ge p^r$  and  $q^b \ge q^s$ . The same order is used in  $B_1 \times B_2$ , i.e.  $(p^a, q^b) \ge (p^r, q^s)$  iff  $p^a \ge p^r$  and  $q^b \ge q^s$ . Define

$$\varphi: B_1B_2 \to B_1 \times B_2$$

$$p^r q^s \mapsto (p^r, q^s)$$

Since  $\varphi(p^a)=(p^a,1), \varphi$  is a homomorphism since multiplication in  $B_1\times B_2$  is componentwise multiplication. This makes  $\varphi$  a bijection. We can also define the inverse to  $\varphi$ 

$$\varphi^{-1}((p^r, q^s)) = p^r q^s$$

The inverse is well-defined and  $(p^r, q^s) \leq (p^n, q^m)$  implies that  $\varphi((p^r, q^s)) = p^r q^s \leq p^n q^m = \varphi((p^n, q^m))$  according to the definition of order above. Hence  $\varphi$  is an order preserving bijection whose inverse is order preserving and hence it's a poset isomorphism.

$$\therefore B_1B_2 \cong B_1 \times B_2$$

**Proposition 3.9.** The poset  $(\mathbb{N}^+, \leq_A)$  is isomorphic to the infinite direct product of the posets  $(\left\{p_i^j\middle|j\geq 0\right\}, \leq_A)$ . Then  $(\mathbb{N}^+, \leq_A)$  is isomorphic to S. If  $n\in\mathbb{N}^+$ , then the interval [1,n] is isomorphic to a product of finitely many finite chains.

*Proof.* Let  $n \in \mathbb{N}^+$  have the prime factorization  $n = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}$  Define

$$\varphi: (\mathbb{N}^+, \leq_A) \to (\{p_1^j | j \geq 0\} \times \{p_2^j | j \geq 0\} \times \ldots, \leq_A)$$

 $\varphi$  maps n to the vector with  $p_i^{a_i}$  on the i:th places and 0 on all others. That this mapping preserves the order follows from Theorem 1.14.  $\varphi$  is clearly a bijection. The inverse of  $\varphi$  then exists and

$$(p_1^{a_1}, p_2^{a_2}, \dots) \ge (p_1^{b_1}, p_2^{b_2}, \dots)$$

implies  $a_i \geq b_i$  for all  $i \in \mathbb{N}$ . Hence

$$\varphi^{-1}((p_1^{a_1}, p_2^{a_2}, \dots)) = p_1^{a_1} p_2^{a_2} \dots \ge p_1^{b_1} p_2^{b_2} \dots = \varphi^{-1}((p_1^{b_1}, p_2^{b_2}, \dots))$$

Hence  $\varphi$  is a poset isomorphism and  $(\mathbb{N}^+, \leq_A) \cong (\{p_1^j | j \geq 0\} \times \{p_2^j | j \geq 0\} \times \ldots, \leq_A)$ 

If  $1 \leq n \in \mathbb{N}$  then there exists a largest prime number  $p_k \leq n$ . For each prime  $p_i$  there exists a unique number  $l_i \in \mathbb{N}$  such that  $p_i^{l_i}$  is the largest power of  $p_i$  less then or equal to n. Then as above we can define a mapping

$$\varphi: ([1, n], \leq_A) \to (\{p_1^j | 0 \leq j \leq l_1\} \times \dots \times \{p_k^j | 0 \leq j \leq l_k\}, \leq_A)$$

This mapping is a poset isomorphism according to the above. The number of chains is clearly finite and all  $\{p_i^j|0\leq j\leq l_i\}$  are clearly finite chains.

Let  $1 \in W \subset \mathbb{N}^+$ . We define the zeta function of  $\Gamma[W]$  to be  $\zeta =$  $\sum_{n\in W} e_n \in \Gamma[W]$ , i.e  $\zeta(n) \equiv 1$  for  $n\in W$ , and let the Möbius function be its multiplicative inverse  $\mu = \zeta^{-1}$ . The Möbius function exist, since  $\zeta(1) \neq 0$ 

**Theorem 3.10.**  $\mu(p_1^{a_1}\cdots p_r^{a_r})$  is  $(-1)^r$  if all  $p_i^{a_i}$  are primitive prime powers, 0 otherwise.

*Proof.* Let  $p^a$  be primitive. Then

$$\mu * \zeta(p^a) = \sum_{d \in A(p^a)} \mu(d)\zeta(\frac{p^a}{d}) = \sum_{d \in A(p^a)} \mu(d) = \mu(1) + \mu(p^a) = 1 + \mu(p^a) = 0$$

which implies that  $\mu(p^a) = -1$  if  $p^a$  is primitive. Then, if  $p^a \in A(p^{2a})$ ,

$$\mu * \zeta(p^{2a}) = \sum_{d \in A(p^{2a})} \mu(d) = \mu(1) + \mu(p^a) + \mu(p^{2a}) = 1 - 1 + \mu(p^{2a}) = 0$$

It follows by induction that  $\mu(p^b)=0$  if  $p^b$  non-primitive. Let  $n=p_1^{a_1}p_2^{a_2}$  where both  $p_1^{a_1}$  and  $p_2^{a_2}$  are primitive. Then

$$\sum_{d \in A(n)} \mu(d) = \mu(1) + \mu(p_1^{a_1}) + \mu(p_2^{a_2}) + \mu(p_1^{a_1}p_2^{a_2}) =$$

$$= 1 - 1 - 1 + \mu(p_1^{a_1}p_2^{a_2}) = 0$$

Hence  $\mu(p_1^{a_1}p_2^{a_2})=1=(-1)^2$ . Assume that  $\mu(p_1^{a_1}\cdots p_{r-1}^{a_{r-1}})=(-1)^{r-1}$  if all  $p_i^{a_i}$  are primitive. Let  $n=p_1^{a_i}\cdots p_r^{a_r}$  where all  $p_i^{a_i}$  are primitive. Then

$$\sum_{d \in A(n)} \mu(d) = \sum_{i=0}^{r-1} \binom{r}{i} (-1)^i + \mu(p_1^{a_1} \cdots p_r^{a_r}) = 0$$

Hence  $\mu(p_1^{a_i}\cdots p_r^{a_r})=(-1)^r$  if all  $p_i^{a_i}$  are primitive. Let  $n=p_1^{2b}p_2^a$  where  $p_1^{2b}$  is non-primitive and  $p_2^a,p_1^b$  is primitive. Then

$$\sum_{d \in A(n)} \mu(d) = \mu(1) + \mu(p_2^a) + \mu(p_1^b) + \mu(p_1^{2b}) + \mu(p_1^b p_2^a) + \mu(p_1^{2b} p_2^a) =$$

$$= 1 - 1 - 1 + 0 + 1 + \mu(p_1^{2b}p_2^a) = 0$$

which implies that  $\mu(p_1^{2b}p_2^a)=0$ . Induction over the number of factors gives that  $\mu(p_1^{a_i}\cdots p_r^{a_r})=0$  if one of the  $p_i^{a_i}$ s is non-primitive. Moreover induction over the number of non-primitive factors then shows that  $\mu(p_1^{a_i}\cdots p_r^{a_r})=0$ if some of the  $p_i^{a_i}$ s are non-primitive.

### Chapter 4

# Ternary convolution

In proposition 3.6 we concluded that the unitary convolution and the Dirichlet convolution both have the property that a Hasse diagram of posets  $\{p^i|i\geq 0\}$  has the property that all wedges of chains had the same length. The proposition said that there were only one more convolution with this property. We call this convolution ternary convolution. Since both Dirichlet convolution and unitary convolution are well-known it might be interesting to look into this ternary convolution and see what can be said about it. The ring of arithmetic functions with ternary convolution is obviously isomorphic to the complete tensor product of countably many copies of  $\frac{\mathbb{C}[x]}{(x^3)}$ .

**Proposition 4.1.** A prime power  $p^a$  is primitive if either a is odd or  $a = 2^{\alpha}b$  where b is odd and  $\alpha$  is even.

*Proof.* Ternary convolution is determined by the progressions  $\{0, 1, 2\}, \{0, 3, 6\}, \{0, 4, 8\}, \ldots, \{0, s, 2s\}, \ldots$ , where s is the next number not in any previous chain. The primitive prime powers are  $p^n$  where n is the first non-zero element in some chain. The third element in every chain is divisible by 2, hence all  $p^a$  with a odd are primitive. Hence if a = 2b with b odd, then  $p^a$  is non-primitive. This gives that  $p^{2^2b}$  must be primitive, since  $2^2b$  can't be the third number in any chain (because if it were the third number then 2b would be primitive). Continuation in this way gives the result.

**Corollary 4.2.** The proportion of a such that  $p^a$  is primitive in the interval [1,l] is near  $\frac{2}{3}$  for large l.

*Proof.* In a large interval near  $\frac{1}{2}$  of the numbers are odd, near  $\frac{1}{2^3}$  are of the form  $2^2b$  where b is odd. This discussion leads to the following formula

$$\frac{1}{2} + \frac{1}{8} + \frac{1}{32} + \dots = \sum_{k=0}^{\infty} \frac{1}{2^{2k+1}} = \lim_{n \to \infty} \frac{1}{2} \frac{1 - (\frac{1}{4})^n}{1 - \frac{1}{4}} = \frac{2}{3}$$

In [3] Schinzel formulate a formula for the inverse function to an invertible function f under unitary convolution. Here we do the same thing for the ternary convolution. The formula we give actually works for every regular convolution, the tricky part is just to factor n into primitive elements. In proposition 4.3 we show that this can be done under the ternary convolution, but it is easy to realize that n can be factored into primitive elements in a unique way with respect to any regular convolution.

**Proposition 4.3.** Any number  $n \in \mathbb{N}^+$  has a unique factorization into primitive prime powers.

Proof. Every  $n \in \mathbb{N}^+$  has a unique prime factorization,  $n = p_1^{a_1} p_2^{a_2} \cdots p_k^{a_k}$ . Then if  $p_i^{a_i}$  is non-primitive one can write  $p_i^{a_i} = p_i^{\frac{a_i}{2}} p_i^{\frac{a_i}{2}}$  where  $p_i^{\frac{a_i}{2}}$  must be primitive. Hence it follows that n can be written as a product of primitive elements in a unique way.

**Definition 4.4.** For a number  $n \in \mathbb{N}$  let v(n) be the number of primitive prime powers counted with multiplicity in the factorization of n.

**Proposition 4.5.** If f(1) = 1 the inverse function of f exists and is given by the formula

$$f^{-1}(1) = 1$$

$$f^{-1}(n) = \sum_{k=1}^{\upsilon(n)} (-1)^k \sum_{\substack{d_1 \dots d_k = n \\ d_i \in A(n), d_i > 1}} \prod_{i=1}^k f(d_i) \quad \text{for } n > 1$$

*Proof.* Let g(n) be the formula given by the right hand side above. Then f \* g(1) = 1, so  $g(1) = f^{-1}(1)$ . Thus let n > 1. Then we have

$$f * g(n) = \sum_{d \in A(n)} f(d)g(\frac{n}{d}) = g(n) + \sum_{\substack{d \in A(n) \\ 1 < d < n}} f(d)g(\frac{n}{d}) + f(n) =$$

$$= \sum_{k=1}^{\upsilon(n)} (-1)^k \sum_{\substack{d_1 \cdots d_k = n \\ d_i \in A(n), d_i > 1}} \prod_{i=1}^k f(d_i) +$$

$$+ \sum_{\substack{d \in A(n) \\ 1 < d < n}} f(d) \sum_{k=1}^{\upsilon(\frac{n}{d})} (-1)^k \sum_{\substack{d_1 \cdots d_k = \frac{n}{d} \\ d_i \in A(\frac{n}{d}), d_i > 1}} \prod_{i=1}^k f(d_i) + f(n) = 0$$

since

$$\sum_{\substack{d \in A(n) \\ 1 < d < n}} f(d) \sum_{k=1}^{v(\frac{n}{d})} (-1)^k \sum_{\substack{d_1 \cdots d_k = \frac{n}{d} \\ d_i \in A(\frac{n}{d}), d_i > 1}} \prod_{i=1}^k f(d_i) + f(n) =$$

$$= -\sum_{k=1}^{v(n)} (-1)^k \sum_{\substack{d_1 \cdots d_k = n \\ d_i \in A(n), d_i > 1}} \prod_{i=1}^k f(d_i)$$

Hence g is the inverse of f.

One of the questions that we hoped to be able to answer about this ring was what are the nilpotent elements and the zero divisors in the ring. This work isn't finished yet, but a result on the way is proposition 4.9. To get there we need a few lemmas.

**Lemma 4.6.** 1. If  $p^a$  is primitive, then  $e_{p^a} * e_{p^a} = e_{p^{2a}}$ 

- 2. If  $p^a$  is non-primitive, then  $e_{p^a} * e_{p^a} = 0$
- 3. If  $a \neq b$ , then  $e_{p^a} * e_{p^b} = 0$

Proof. 1.

$$e_{p^a} * e_{p^a}(p^{2a}) = \sum_{\substack{d_1 d_2 = p^{2a} \\ d_i \in A(p^{2a})}} e_{p^a}(d_1)e_{p^a}(d_2) =$$

$$= e_{p^a}(p^{2a})e_{p^a}(1) + e_{p^a}(p^a)e_{p^a}(p^a) + e_{p^a}(1)e_{p^a}(p^{2a}) = 0 + 1 + 0 = 1$$

2.

$$e_{p^a} * e_{p^a}(p^{2a}) = \sum_{\substack{d_1d_2 = p^{2a} \\ d_i \in A(p^{2a})}} e_{p^a}(d_1)e_{p^a}(d_2) =$$

, since  $p^{2a}$  will be primitive.

$$= e_{p^a}(p^{2a})e_{p^a}(1) + e_{p^a}(1)e_{p^a}(p^{2a}) = 0$$

3. If  $a \neq b$  then  $p^{ab}$  is either primitive or non-primitive. If  $p^{ab}$  is primitive, then

$$e_{p^a} * e_{p^b}(p^{ab}) = \sum_{\substack{d_1 d_2 = p^{ab} \\ d_i \in A(p^{ab})}} e_{p^a}(d_1)e_{p^b}(d_2) =$$

$$= e_{p^a}(p^{ab})e_{p^b}(1) + e_{p^a}(1)e_{p^b}(p^{ab}) = 0$$

And it follows from the calculations above that  $e_{p^a} * e_{p^b} = 0$ . If  $p^{ab}$  is non-primitive then  $p^{ab} = (p^{\frac{ab}{2}})^2$  where  $p^{\frac{ab}{2}}$  is primitive. Hence

$$e_{p^a} * e_{p^b}(p^{ab}) = e_{p^a}(p^{ab})e_{p^{2a}}(1) + e_{p^a}(p^{\frac{ab}{2}})e_{p^{2a}}(p^{\frac{ab}{2}}) + e_{p^a}(1)e_{p^{2a}}(p^{\frac{ab}{2}}) = 0$$

**Lemma 4.7.** If  $n = p_1^{a_1} \cdots p_k^{a_k}$  were all  $p_i$  are distinct primes, then

$$e_n = e_{p_1^{a_1}} * \cdots * e_{p_k^{a_k}}$$

*Proof.* One can factor  $p_1^{a_1}\cdots p_k^{a_k}$  into it's primitive parts, so  $p_1^{a_1}\cdots p_k^{a_k}=q_1^{b_1}\cdots q_l^{b_l}$ , where each  $q_i^{b_i}$  is primitive. If  $p_i^{a_i}$  is non-primitive, then  $e_{p_i^{a_i}}=e_{p_i^{a_i}}*e_{p_i^{a_i}}*e_{p_i^{a_i}}$  since

$$e_{p_i^{\underline{a_i}}} * e_{p_i^{\underline{a_i}}}(p_i^{a_i}) = \sum_{d \in A(p_i^{a_i})} e_{p_i^{\underline{a_i}}}(d) e_{p_i^{\underline{a_i}}}(\frac{n}{d}) = 1$$

Hence

$$e_{p_1^{a_1}} * \cdots * e_{p_k^{a_k}}(n) = e_{q_1^{b_1}} * \cdots * e_{q_l^{b_l}}(n) = \sum_{d_1 \cdots d_l = n} e_{q_1^{b_1}}(d_1) \cdots e_{q_l^{b_l}}(d_l) = 1$$

**Lemma 4.8.** Let  $n=p_1^{a_1}\cdots p_k^{a_k}$  and  $m=q_1^{b_1}\cdots q_l^{b_l}$ , where  $p_i$  and  $q_i$  are primes. Then

$$e_m * e_n = \begin{cases} 0 & \text{if for some } i, j, p_i = q_j \text{ and } a_i \neq b_j \text{ or } p_i^{a_i} \text{ non-primitive} \\ e_{mn} & \text{otherwise} \end{cases}$$

*Proof.* This follows directly from Lemma 4.7, the commutativity of the ring and the properties of the ternary convolution, since if  $p_i = q_j$  and  $a_i \neq b_j$  for some i, j, then clearly

$$e_n * e_m = \dots * e_{p_i^{a_i}} * \dots * e_{p_j^{b_j}} * \dots = \dots * 0 * \dots = 0$$

On the other had if  $a_i = b_i$  but  $p_i^{a_i}$  non-primitive, then

$$e_n * e_m = \dots * e_{p_i^{a_i}} * \dots * e_{p_i^{a_i}} * \dots = \dots * 0 * \dots = 0$$

**Proposition 4.9.** In the ring with three-convolution, all elements of polynomial type are nilpotent.

*Proof.* Lemma 4.8 gives that  $(e_n)^3 = 0$  for all n. Hence, since  $k \in \mathbb{N}^{|i|}$  implies that  $p_i|k$  and by Lemma 4.6

$$(f_i)^3 = \left(\sum_{k \in \mathbb{N}^{|i|}} f(k)e_k\right)^3 = 0$$

If an element is of polynomial type then  $f = \sum_{i=1}^{\infty} f_i$  with all but finitely many of the  $f_i$ 's are zero. So, because of the above and the pigeonhole principle,

$$f^{(2N+1)} = \left(\sum_{i=1}^{N} f_i\right)^{2N+1} = 0$$

Hence all elements of polynomial type are nilpotent.

Corollary 4.10. All elements of polynomial type are zero divisors in the ring with ternary convolution.

*Proof.* Let f be of polynomial type. Proposition 4.9 gives that  $f^m=0$  for some m>1. Hence  $f*f^{m-1}=f^{m-1}*f=0$  and hence f is a zero divisor.  $\square$ 

Question 4.11. Are all zero divisors of polynomial type?

## Chapter 5

# Restrictions to square-free integers

We now consider the special case when W consists of the square-free integers.

**Theorem 5.1.** Let W consist of the square-free integers. Then there is only one regular convolution on  $\Gamma[W]$ .

*Proof.* If  $p \in \mathbb{P}$  then, by Proposition 1.9,  $A(p) = \{1, p\}$ . By Proposition 1.11, if  $p, q \in \mathbb{P}$  then  $A(pq) = \{1, p, q, pq\}$ . Induction gives that if n is squarefree, then  $A(n) = \{1, \prod_{i \in I \subset J} p_i \text{ where } n = \prod_{i \in J} p_i\}$ . Hence Definition 2.1 implies that any convolution on  $\Gamma[W]$  is defined as

$$e_m * e_n = \begin{cases} e_{mn} & \text{if } mn \text{ square-free} \\ 0 & \text{otherwise} \end{cases}$$

But this is a unique definition and hence there exist only one regular convolution on  $\Gamma[W]$ . mn squarefree implies that there exist no  $p \in \mathbb{P}$  such that p|m and p|n, i.e. (m,n)=1.

Corollary 5.2. The convolution on  $\Gamma[W]$  can be viewed as the unitary convolution on the square-free integers.

This means that the ring  $\Gamma[W]$  share many properties with the ring  $\Gamma$  with unitary convolution. For example all elements of polynomial type are nilpotent (see [4]). The ring  $\Gamma[W]$  is obviously isomorphic to the completion of the group ring to the direct sum of countably many  $\mathbb{Z}/2\mathbb{Z}$ . An alternative description would be to view the ring as the completion of the free vector space on finite subsets of  $\mathbb{N}$  with the multiplication.

$$A*B = \left\{ \begin{array}{ll} A \cup B & \text{if } A \cap B = \emptyset \\ 0 & \text{otherwise} \end{array} \right.$$

Conjecture 5.3. All zero divisors and nilpotent elements in  $\Gamma[W]$  are of polynomial type.

## Chapter 6

# Restrictions to [n]

Let A be some regular convolution, and let  $W \subset \mathbb{N}^+$  be a finite subset closed under taking A-divisors. We will be mostly interested in the case  $W = [n] = \{1, 2, \dots, n\}$ .

The ring  $\Gamma[W]$  is a monomial ring, i.e. a quotient of a polynomial ring (on finitely many variables) with a monomial ideal.

Henceforth we assume A fixed and suppress it from notations. Let  $\mathbb{PP}[W]$  be the primitive prime powers in W.

**Theorem 6.1.**  $\Gamma[W] = \frac{\mathbb{C}[\{e_q | q \in \mathbb{PP}[W]\}]}{I_W + J_W}$ , where  $I_W$ ,  $J_W$  are monomial ideals.  $I_W = I \cap \{e_q | q \in \mathbb{PP}[W]\}$  and I is the defining ideal of  $\Gamma = \frac{\mathbb{C}[[\{e_q | q \geq 1\}]]}{I}$ .

*Proof.* It is clear that  $\frac{\mathbb{C}[\{e_q|q\in\mathbb{PP}[W]\}]}{I_W}$  is a subvector space of  $\frac{\mathbb{C}[[\{e_q|q\geq 1\}]]}{I}$ . Define

$$\varphi: \frac{\mathbb{C}[\{e_q|q\in\mathbb{PP}[W]\}]}{I_W} \to \Gamma[W]$$

such that

$$\varphi(e_n) = \begin{cases} e_n & \text{if } e_n \in \Gamma[W] \\ 0 & \text{otherwise} \end{cases}$$

This is a homomorphism, since if  $e_m, e_n \in \Gamma[W], e_{mn} \notin \Gamma[W]$  then  $0 = \varphi(e_{mn}) = \varphi(e_m) * \varphi(e_n) = e_m * e_n = 0$ . Ker $(\varphi)$  is clearly a monomial ideal. Then  $J_W = \text{Ker}(\varphi)$  so

$$\Gamma[W] = \frac{\mathbb{C}[\{e_q | q \in \mathbb{PP}[W]\}]}{I_W + J_W}$$

Equivalently, W is identified with  $\{e_w|w\in W\}$  and is regarded as a multicomplex on  $\mathbb{PP}[W]$ , and  $\Gamma[W]$  as the multicomplex ring on W.

**Definition 6.2.** A facet of W is an element which is maximal w.r.t  $\leq_A$ .

**Definition 6.3.** The *socle* of a homogeneous quotient  $R = \mathbb{C}[x_1, \dots, x_r]/I$  is the set

$$\mathbf{soc}(R) = \{ f \in R | fg = 0 \text{ for all } g \in \mathbf{m} \},$$

where  $\mathbf{m} = (x_1, \dots, x_r)$  is the unique graded maximal ideal in R.

Lemma 6.4. The socle is a graded ideal.

*Proof.* It is obvious that the socle is an ideal. Any  $f \in R$  can be decomposed into homogenous components,  $f = f_1 + f_2 + \cdots + f_d$ . Take  $f \in \mathbf{soc}(R)$ , then since fg = 0 for all  $g \in \mathbf{m}$  we have that  $(f_1 + \cdots + f_d)x_i = f_1x_i + \cdots + f_dx_i = 0$  for all i. This implies that  $f_jx_i = 0$  for all  $1 \le j \le d$ , since  $f_1x_i, \ldots, f_dx_i$  are all homogenous of different degrees or 0.

It is obvious that any  $f = f_1 + \cdots + f_d$  such that  $f_n \in \mathbf{soc}(R), 1 \leq n \leq d$  is in  $\mathbf{soc}(R)$ . Hence the socle is a graded ideal.

**Lemma 6.5.** The element  $e_w \in \Gamma[W]$  is in the socle iff  $w \in W$  is a facet. These elements span the socle as a vector space.

*Proof.*  $n \leq m$  if and only if  $e_m = e_n * e_p$  for some  $p \in W$ . Hence w is a facet if and only if for all  $v \in W \setminus \{1\}$ ,  $e_v * e_w \neq e_k, k \in W$ , i.e.  $e_v * e_w = 0$  for all  $v \in W \setminus \{1\}$ . Hence w facet implies  $e_w \in \mathbf{soc}(\Gamma[W])$ .

Assume  $e_w \in \mathbf{soc}(\Gamma[W])$ . m is generated by  $\{e_v | v \in \mathbb{PP}[W]\}$ , which means that it is also generated by the set  $\{e_v | v \in W \setminus \{1\}\}$ . Then, for all  $v \in W \setminus \{1\}$ ,  $e_w * e_v = 0$ . Hence either  $vw \notin W$  or  $w \notin A(vw)$ . In both cases we have that  $e_v * e_w \notin \Gamma[W]$ . Hence w is a facet.

That these elements then span the socle as a vector space is obvious.  $\square$ 

Question 6.6. Let  $c(A, n) = dim_{\mathbb{C}} Soc(\Gamma_A[W_n])$ , the vector space dimension of the socle of  $\Gamma_A[W_n]$ . Then the limit  $\lim_{n\to\infty} c(A, n)/n$  exist and for Dirichlet convolution it's value is  $\frac{1}{2}$  (since the socle is generated by  $e_2, e_3, e_{\lceil \frac{n}{2} \rceil}$ , hence  $\lim_{n\to\infty} c(A, n)/n = \frac{1}{2}$ ). For unitary convolution the value is

$$1 - \frac{1}{2} + \sum_{i=1}^{\infty} \frac{\frac{1}{p_i} - \frac{1}{p_{i+1}}}{\prod_{j=1}^{i} p_j} \approx 0.60771435951661818$$

(see [5]). What is the value of  $\lim_{n\to\infty} c(A,n)/n$  for the ternary convolution?

**Lemma 6.7.** For any  $w \in W$ , let  $JJ_w$  be the ideal in  $S = \frac{\mathbb{C}[\{e_q \mid q \in \mathbb{PP}[W]\}]}{I_W}$  generated by the set  $\{e_v \in \Gamma[W] \mid v \not\leq w\}$ . Then the ideal  $J_W$  is the intersection of all ideals  $JJ_w$  when w ranges over all facets, in S.

*Proof.* The ideal  $\bigcap_{w \text{ facet}} JJ_w$  is generated by all  $e_q$  such that  $q \not\leq w$  for any facet w. An equivalent formulation would be to say that the ideal is generated by  $e_q$  such that  $q \notin W$ . This together with the proof of theorem 6.1 proves the lemma.

**Example 6.8.** W = [10]. If A is Dirichlet then  $\mathbb{PP}[W] = \{2, 3, 5, 7\}$ , W is the multicomplex  $\{e_2, e_3, e_2^2, e_5, e_2e_3, e_7, e_2^3, e_2^2, e_2e_5\}$ , and so the multicomplex ring is

$$\Gamma[W] = \frac{\mathbb{C}[e_2, e_3, e_5, e_7]}{(e_2^4, e_2^3 e_3, e_2^2 e_5, e_2 e_7, e_3^3, e_3 e_5, e_3 e_7, e_5^2, e_5 e_7, e_7^2)}.$$

There is no  $I_W$  since I = (0). The facets are 10, 9, 8, 7, 6 with corresponding ideals  $JJ_{10} = (e_3, e_2^2, e_7), JJ_9 = (e_2, e_5, e_7), JJ_8 = (e_3, e_5, e_2e_3, e_7), JJ_7 = (e_2, e_3, e_5), JJ_6 = (e_2^2, e_5, e_7, e_3^2).$ 

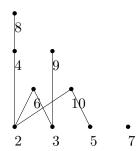


Figure 6.1: W = 10, Dirichlet convolution

**Example 6.9.** If A is unitary convolution, W = [10], then  $\mathbb{PP}[W] = \{2, 3, 4, 5, 7, 8, 9\}$ , W is the multicomplex  $\{e_2, e_3, e_4, e_5, e_2e_3, e_7, e_8, e_9, e_2e_5\}$ ,

$$I_W = (e_2^2, e_2e_4, e_2e_8, e_3^2, e_3e_9, e_4^2, e_4e_8, e_5^2, e_7^2, e_8^2, e_9^2),$$

the restriction of I to this ring, and

$$J_W = (e_2e_7, e_2e_9, e_3e_5, e_3e_7, e_3e_8, e_4e_5,$$

$$e_4e_7, e_4e_9, e_5e_7, e_5e_8, e_5e_9, e_7e_8, e_7e_9, e_8e_9$$
).

The facets are 10, 9, 8, 7, 6, 4, with corresponding ideals  $JJ_{10} = (e_3, e_4, e_7, e_8, e_9), JJ_9 = (e_2, e_3, e_4, e_5, e_7, e_8), JJ_8 = (e_2, e_3, e_4, e_5, e_7, e_9), JJ_7 = (e_2, e_3, e_4, e_5, e_8, e_9), JJ_6 = (e_4, e_5, e_7, e_8, e_9), JJ_4 = (e_2, e_3, e_5, e_7, e_8, e_9).$ 

**Example 6.10.** If A is ternary convolution, W = [10], then  $\mathbb{PP}[W] = \{2, 3, 5, 7, 8\}$ , W is the multicomplex  $\{e_2, e_3, e_2^2, e_5, e_2e_3, e_7, e_8, e_3^2, e_2e_5\}$ ,

$$I_W = (e_2^3, e_3^3, e_2 e_8)$$

and

$$J_W = (e_2^2 e_3, e_2 e_7, e_3 e_5, e_2 e_3^2, e_2^2 e_5, e_3 e_7, e_3 e_8, e_5^2, e_5 e_7, e_2 e_3 e_7, e_7 e_8, e_2 e_5 e_7)$$

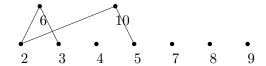


Figure 6.2: W = 10, unitary convolution

The facets are 10, 9, 8, 7, 6, 4, with corresponding ideals  $JJ_{10}=(e_3, e_2^2, e_7, e_8, e_3^2)$ ,  $JJ_9=(e_2, e_5, e_2e_3, e_7, e_8)$ ,  $JJ_8=(e_2, e_3, e_5, e_7)$ ,  $JJ_7=(e_2, e_3, e_5, e_8)$ ,  $JJ_6=(e_2^2, e_5, e_7, e_8, e_3^2, e_2e_5)$ ,  $JJ_4=(e_3, e_5, e_2e_3, e_7, e_8, e_2e_5)$ 

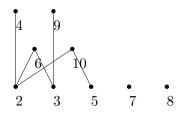


Figure 6.3: W = 10, ternary convolution

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