# MATEMATISKA INSTITUTIONEN STOCKHOLMS UNIVERSITET

Avd. Matematik

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## 1. Recursions and generating series (7 points)

- (a) Define the term **generating series**.
- (b) Assume that the generating series of a sequence  $(a_n)_n$  of real numbers has positive radius of convergence and denote its generating function by f = f(x). Prove that

$$\frac{f(x)}{1-x}$$

is the generating function of

$$\left(\sum_{k\leq n} a_k\right)_n.$$

- (c) Find the generating function for the sequence  $(n^3)_n$ . You may freely use knowledge about the generating function for  $(n^2)_n$ .
- (d) Use the generating series methods to find the generating function f = f(x) of the unique sequence  $(a_n)_n$  satisfying

$$a_n = 2a_{n-1} + n^3$$
 for  $n \ge 1$  and  $a_0 = 1$ .

## Solution.

- (a) Given a sequence of numbers  $(a_n)_{n\in\mathbb{N}}$ , its generating series is the formal power series  $\sum_{n\in\mathbb{N}} a_n x^n$ .
- (b) Let  $f(x) = \sum_{n \in \mathbb{N}} a_n x^n$  be the generating series of  $(a_n)_n$ , which by assumption has positive radius of convergence. We know that

$$g(x) = \frac{1}{1-x} = \sum_{n \in \mathbb{N}} x^n$$

for all |x| < 1. So g(x) is the generating function of the constant sequence  $(b_n)_n = (1)_n$ . Since both power series defining f and g have positive radius of convergence, their formal product as power series equals the product of functions. So

$$\frac{f(x)}{1-x} = f(x)g(x) = \sum_{n \in \mathbb{N}} \left( \sum_{k \le n} a_k b_{n-k} \right) x^n = \sum_{n \in \mathbb{N}} \left( \sum_{k \le n} a_k \right) x^n.$$

This is what we had to show.

(c) The generating function for  $(n^2)_{n\in\mathbb{N}}$  is

$$\frac{x(x+1)}{(1-x)^3} = \sum_{n \in \mathbb{N}} n^2 x^n.$$

Since this generating series has positive radius of convergence, its formal derivative equals its analytic derivative. So we obtain

$$\sum_{n=1}^{\infty} n^2 \cdot nx^{n-1} = \frac{\mathrm{d}}{\mathrm{d}x} \frac{x(x+1)}{(1-x)^3}.$$

The right-hand side equals

$$\frac{(1+2x)(1-x)^3 - x(1+x)3(1-x)^2(-1)}{(1-x)^6} = \frac{(1+2x)(1-x) + 3x(1+x)}{(1-x)^4}$$
$$= \frac{1-x+2x-2x^2+3x+3x^2}{(1-x)^4}$$
$$= \frac{1+4x+x^2}{(1-x)^4}.$$

Multiplying this function by x, we hence obtain

$$\sum_{n \in \mathbb{N}} n^3 x^n = \frac{x(1+4x+x^2)}{(1-x)^4}.$$

(d) The generating series method assumes that the sequence  $(a_n)_{n\in\mathbb{N}}$  has a generating function, say f(x). For  $n\geq 1$ , we multiply the relation

$$a_n = 2a_{n-1} + n^3$$

with  $x^n$  and take the formal sum, in order to obtain the equality of power series

$$\sum_{n=1}^{\infty} a_n x^n = 2 \sum_{n=1}^{\infty} a_{n-1} x^n + \sum_{n=1}^{\infty} n^3 x^n.$$

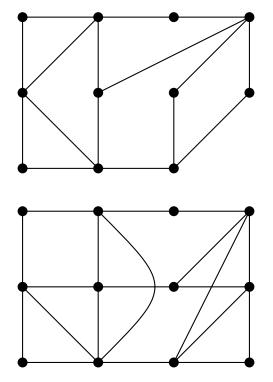
Making use the computed generating function for  $(n^3)_{n\in\mathbb{N}}$ , using the initial condition  $a_0=1$  and substituting the generating function f(x) for the generating series of  $(a_n)_{n\in\mathbb{N}}$ , we obtain

$$f(x) - 1 = 2x(f(x) + \frac{x(1+4x+x^2)}{(1-x)^4}.$$

Solving this expression for f(x), we obtain

$$f(x) = \frac{x(1+4x+x^2)}{(1-x)^4(1-2x)} + \frac{1}{1-2x} = \frac{1-3x+10x^2-3x^3+x^4}{(1-x)^4(1-2x)}.$$

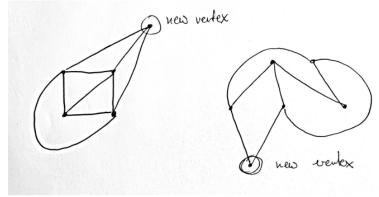
- 2. Graphs (7 points)
  - (a) Define the terms directed graph and undirected graph.
  - (b) Draw a planar depiction of the following graphs:
    - i.  $K_4$ .
    - ii.  $K_5 e$  for an arbitrary edge  $e \in E(K_5)$ .
    - iii.  $K_{2,2}$
    - iv.  $K_{3,3} e$  for an arbitrary edge  $e \in E(K_{3,3})$ .
  - (c) Find an Euler circuit in each of the following graphs



- (d) Let G be a graph admitting an Euler circuit. Prove that deg(v) is even for all  $v \in V(G)$ .
- (e) Calculate the chromatic polynomial of the *n*-cycle graph for all  $n \in \mathbb{N}_{\geq 3}$ .

### Solution.

- (a) A directed graph is a pair (V, E) of a non-empty set V and a subset  $E \subset V \times V$ . An undirected graph is a pair (V, E) of a non-empty set V and a subset  $E \subset \{a \in \mathcal{P}(V) \mid |a| \in \{1, 2\}\}$ , where  $\mathcal{P}(V)$  denotes the set of all subsets of V.
- (b) The following drawing indicates the additional vertex when passing from  $K_4$  to  $K_5 \setminus e$  and from  $K_{3,2}$  to  $K_{3,3} \setminus e$ , respectively.



- (c) Both graphs have a vertex of odd degree, so they do not admit any Euler circuit by the next item.
- (d) Let G = (V, E) be a graph admitting an Euler circuit. Since every loop of G contributes 2 to its adjacent vertex' degree, we may assume that G has no loops. Let  $(v_1, \ldots, v_n)$  be an Euler circuit in G. Then for any  $v \in V$ , we find that

$$\deg(v) = |\{e \in E \mid v \in e\}| = |\{i \in \{1, \dots, n\} \mid v \in \{v_i, v_{i+1(\text{mod}n)}\}\}|$$

is divisible by 2, since  $v = v_i$  implies  $v \in \{v_{i-1}, v_i\}$  and  $v \in \{v_i, v_{i+1}\}$ .

(e) We claim that  $P(C_n, x) = (x-1)^n + (-1)^n (x-1)$  for all  $n \in \mathbb{N}_{\geq 3}$ . We will prove this by induction. For the case n = 3, we calculate the chromatic numbers

$$\chi_1(C_3) = 0$$
 $\chi_2(C_3) = 0$ 
 $\chi_3(C_3) = 3! = 6$ 

which leads us to the chromatic polynomial  $P(C_3, x) = x(x-1)(x-2) = (x-1)^3 + (-1)^3(x-1)$ . Let us next denote by  $L_n$  the path with n vertices. We know that

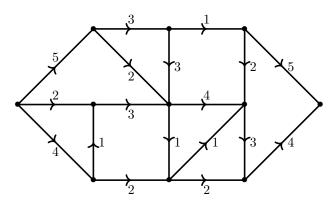
$$P(L_n, x) = x(x-1)^{n-1}$$
  $n \ge 1$ 

This is relevant, since choosing any edge e of  $C_n$ , we have  $C_n \setminus e = L_n$  as long as  $n \geq 3$ . Further, collapsing e, we obtain  $C_{n-1}$ . So the following formula holds for all  $n \geq 3$ :  $P(C_n, x) = P(L_n, x) - P(C_{n-1}, x)$ . We thus proceed by induction and assume that the result holds for some  $n \geq 3$  and calculate

$$P(C_{n+1}, x) = x(x-1)^n - ((x-1)^n + (-1)^n(x-1)) = (x-1)^{n+1} + (-1)^{n+1}(x-1).$$

This completes the induction and hence the proof.

- 3. Networks (6 points)
  - (a) Define the term flow and the value of a flow on a transport network.
  - (b) Find a maximal flow and a minimal cut of the following transport network:



(c) Let N = (G, c) be a transport network and  $f : E(G) \to \mathbb{N}$  a flow on N. Show that for every cut  $(P, P^c)$  of N the following equality holds:

$$\operatorname{val}(f) = \sum_{v \in P, w \in P^{c}} f(v, w) - f(w, v).$$

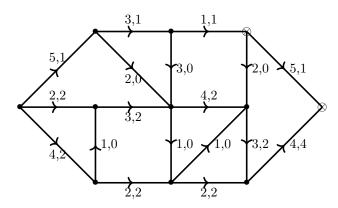
Solution.

- (a) Given a transport network N=(G,c), a flow on N is a function  $f:V(G)\times V(G)\to \mathbb{N}$  such that
  - $f(v, w) \le c(v, w)$  for all  $v, w \in V(G)$ , and
  - $\sum_{v \in V(G)} f(v, w) = \sum_{v \in V(G)} f(w, v)$  for all  $w \in V(G)$  which are neither source nor sink of N. The value of f is

$$val(f) = \sum_{v \in V(G)} f(a, v)$$

where a denotes the source of N.

(b) The following flow has value 5.



We find a cut with capacity 5 too. One such cut is  $(P, P^c)$  where  $P^c$  contains exactly the sink z and the unique adjacent vertex v such that (v, z) has capacity 5. The two vertices are marked in the graphic. By the max-flow-min-cut theorem, this already shows that the found flow is maximal and the indicated cut is minimal.

(c) We adopt the notation of the question and denote the source of N by a. Then

$$\begin{aligned} \operatorname{val}(f) &= \sum_{v \in V(G)} f(a,v) & \text{(definition)} \\ &= \sum_{v \in V(G)} f(a,v) - f(v,a) & \text{(no incoming edges at the source)} \\ &= \sum_{v \in V(G)} f(a,v) - f(v,a) + \sum_{w \in P \setminus \{a\}} \sum_{v \in V(G)} f(w,v) - f(v,w) \\ & \text{(equilibrium condition at non-terminal vertices)} \\ &= \sum_{\substack{w \in P \\ v \in V(G)}} f(w,v) - f(v,w) & \text{(simplification)} \\ &= \left(\sum_{\substack{w \in P \\ v \in P}} + \sum_{\substack{w \in P \\ v \in P^c}} \right) f(w,v) - \left(\sum_{\substack{w \in P \\ v \in P}} + \sum_{\substack{w \in P \\ v \in P^c}} \right) f(v,w) & \text{(splitting the sum)} \\ &= \sum_{\substack{w \in P \\ v \in P^c}} f(w,v) - \sum_{\substack{w \in P \\ v \in P^c}} f(v,w) & \text{(cancellation)} \\ &= \sum_{\substack{w \in P \\ v \in P^c}} f(w,v) - f(v,w). \end{aligned}$$

This is what we had to show.

#### 4. Algorithms (4 points)

- (a) Define the terms tree and spanning tree.
- (b) Describe how the depth-first algorithm starting at vertex (0,0,0,0) runs on the 4-cube with the lexicographical ordering of vertices.

# Solution.

(a) A tree is a connected, loop-free graph without cycles. Given a graph G, a spanning tree of G is a subgraph T of G that is a tree and satisfies V(T) = V(G).

(b) Recall that the vertices of the 4-cube are 4-tuples  $\{0,1\}^4$ , which are adjacent if and only if they differ in exactly one coordinate. The lexicographical order on 4-tuples is given by a>b if and only if  $a\neq b$  and the first entry of a which differs from the respective entry of b is bigger. Formally, the latter condition can be described as  $a_i>b_i$  for  $i=\min\{j\in\{1,\ldots,4\}|a_j\neq b_j\}$ . The depth-first algorithm then visits the following sequence of vertices, which defines a spanning tree (which is a path) of  $Q_4$ :

$$\begin{array}{c} (0,0,0,0) \\ (0,0,0,1) \\ (0,0,1,1) \\ (0,0,1,0) \\ (0,1,0,0) \\ (0,1,0,1) \\ (0,1,1,1) \\ (1,1,1,1) \\ (1,0,1,1) \\ (1,0,0,0) \\ (1,0,0,0) \\ (1,1,1,0) \\ (1,1,0,0) \\ (1,1,1,0) \\ (1,1,0,1) \end{array}$$

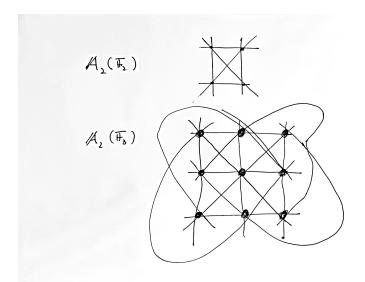
- 5. Finite geometry (6 points)
  - (a) Define the term finite affine plane.
  - (b) Define formally and illustrate with a graphic the examples of the affine planes of rank 2 and 3.
  - (c) Show that every finite affine plane admits at least three parallelity classes of lines.

#### Solution.

- (a) A finite affine place is a pair (P, L) of a set P and a subset  $L \subset \mathcal{P}(P)$  such that
  - for every pair of distinct points  $p_1, p_2 \in P$  there is a unique  $l \in L$  such that  $p_1, p_2 \in l$ ,
  - for every  $l \in L$  and every  $p \in P \setminus l$  there is a unique  $l' \in L$  such that  $p \in l'$  and  $l \cap l' = \emptyset$ , and
  - there are points  $p_1, \ldots, p_4 \in P$  such that for all  $l \in L$  we have  $|\{p_1, \ldots, p_4\} \cap l| \leq 2$ .
- (b) For a finite field k, we have  $\mathbb{A}_2(k) = (k^2, L)$  where L consists of the lines

$$l_a = \{(x, y) \in k^2 \mid x = a\}$$
  
 $l_{a,b} = \{(x, y) \in k^2 \mid y = ax + b\}$ 

for  $a, b \in k$ . Taking  $k = \mathbb{F}_2$  and  $k = \mathbb{F}_3$ , we obtain finite affine planes of rank 2 and 3, respectively. They are illustrated by the following drawing.



(c) Let (P, L) be a finite affine plane and take  $p_1, \ldots, p_4$  such that for all  $l \in L$  we have  $|\{p_1, \ldots, p_4\} \cap l| \le 2$ , whose existence is guaranteed by the definition of a finite affine plane. Denote by  $l_1, l_2, l_3$  the lines through the pairs of points  $(p_1, p_4)$ ,  $(p_2, p_4)$  and  $(p_3, p_4)$ , respectively. Then  $l_1, l_2, l_3$  have pairwise non-empty intersection, but they are not equal thanks to the condition on  $p_1, \ldots, p_4$ . They are hence from three different parallelity classes.