

MM5023

Lecture 1

# Logistic:

*Schedule:* Mondays- Thursday 9-11, with TA session 11-12.

There might be small variation soon look at the official schedule

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*Book:* Grimaldi's Introduction to discrete and combinatorial mathematics

*Bonus points:*

*Lecture notes:*

*Exam:* January 12th 2024. You have to be here!

## Course Plan:

- Review and counting (inclusion/exclusion)
- Rook polynomial
- Generating functions
- Graph theory (coloring, Hamilton paths, tree, weighted trees, optimization, max flow min cut)
- Finite geometry and Latin squares

# Today:

- Review: set functions equivalence relations
- Review: principles of counting (counting using functions)
- Pigeon holes principle and generalized pigeon hole principle.

*What is a set?*

# Set Notation

A set is a collection of elements.

## Example

$$\begin{aligned} P &= \{\text{red, yellow, blue}\} \\ &= \{\text{primary colors}\} \\ &= \{c \mid c \text{ is a primary color}\} \end{aligned}$$

"such that"

$$\text{blue} \in P \quad \text{purple} \notin P$$

The symbol  $\emptyset$  denotes the set with no element, aka **the empty set**.

## Example

$$A = \{ \{1, 2\}, \{3\}, 2, 4, \{1, 5\} \}$$

$$3 \notin A$$

$$\{3\} \in A$$

$$1 \notin A$$

$$\{1\} \notin A$$

$$\{1, 2\} \in A$$

# Operations on sets

*In order to leave barbers alone we are always going to assume that all our subsets are subsets of a universe set.*

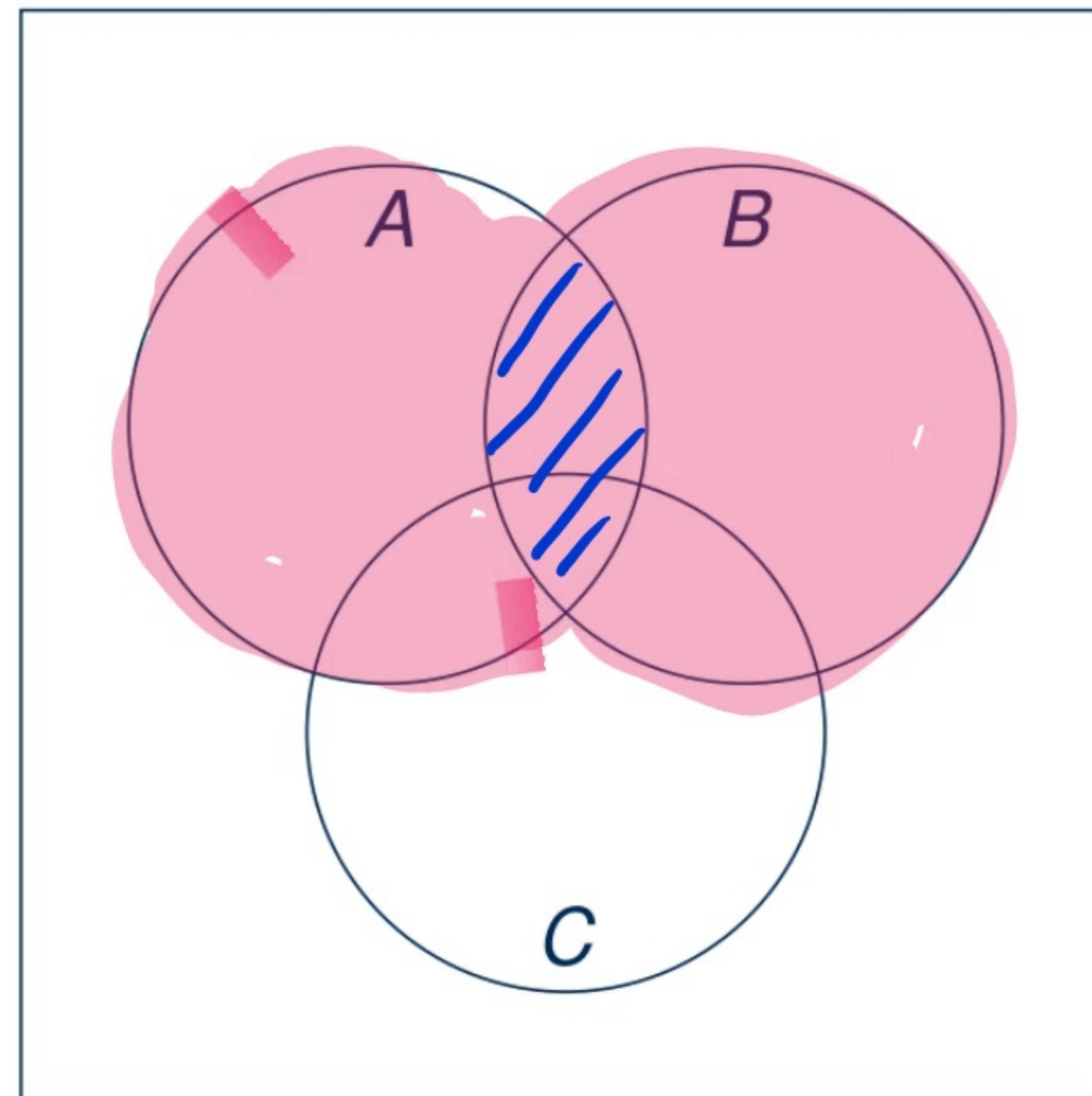




# Union

Given two sets  $A$  and  $B$ , their union is

$$A \cup B := \{x \mid x \in A, \text{ OR } x \in B\}$$



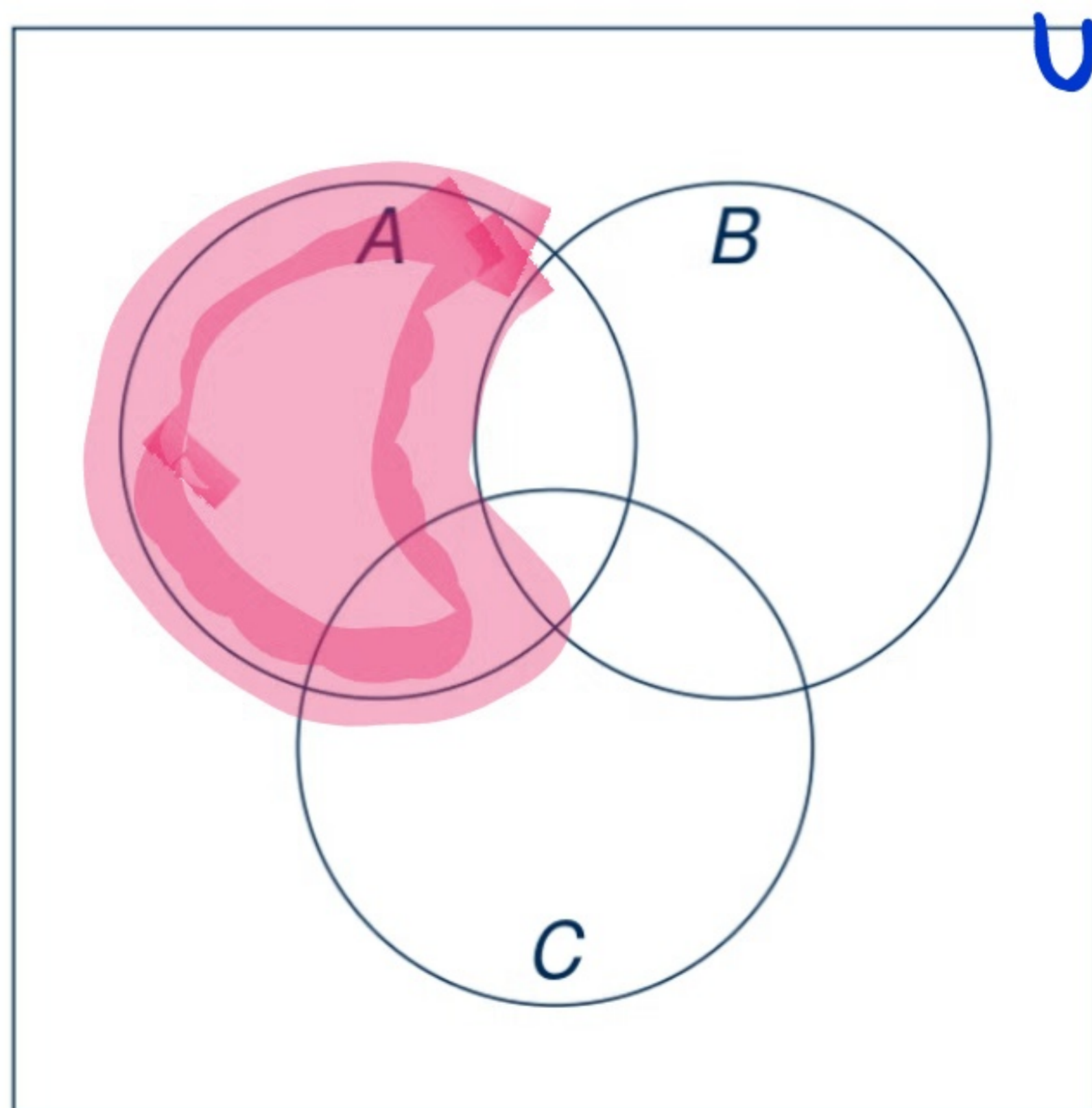
$$A \cap B = \{x \in A \text{ AND } x \in B\}$$

INTERSECTION

# Excision

Given two sets  $A$  and  $B$ , we have that

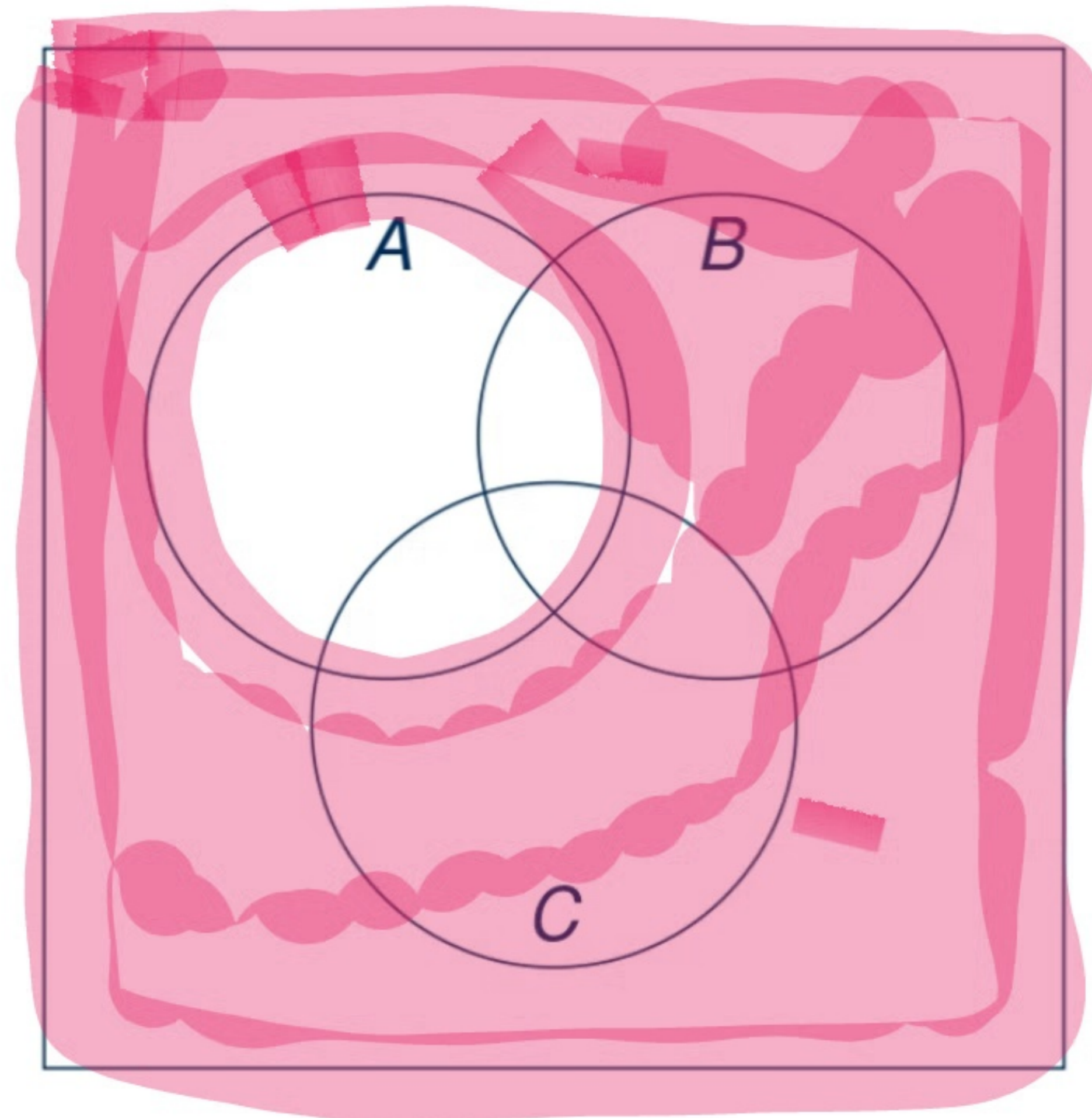
$$A \setminus B := \{x \mid x \in A, \text{ AND } x \notin B\}$$



# Complementary

Given a sets  $A$  (living inside a universe set  $U$ ), its complementary is

$$A^c := \{x \in U \mid x \notin A\}$$



# Disjoint Union

A set  $A$  is the disjoint union of two sets  $B$  and  $C$  ( $A = B \sqcup C$ ) if

- $A = B \cup C$
- $B \cap C = \emptyset$

## Example

$$\mathbb{Z} = E \sqcup O$$

$$E = \{x \in \mathbb{Z} \mid x \text{ is even}\}$$

$$O = \{x \in \mathbb{Z} \mid x \text{ is odd}\}$$

# Cartesian product

A B two sets

$$A \times B = \{ (x, y) \mid x \in A \text{ } y \in B \}$$

Order matters !

Example       $A = \{1, 2, 3\}$        $B = \{1, 2\}$

$$A \times B = \{ (1, 1), (1, 2), (2, 1), (2, 2), (3, 1), (3, 2) \}$$

different elements !

What is a function  $f: A \rightarrow B$

A function is a correspondence assigning to any element  $a \in A$  a unique element  $b \in B$  denoted by  $f(a)$

## Relations

$A, B$  sets

A relation from  $A$  to  $B$  is a subset

$$R \subseteq A \times B.$$

## Example

$A = \{ \text{people} \}$

$B = \{ \text{country} \}$

$R = \{ (a, b) \mid a \text{ lives in } b \}$

$R = \{ (a, \text{Spain}) \mid a \in A \}$

$a R b$   
 $\Leftrightarrow (a, b)$   
is in  $R$

Example

$A = \{\text{people}\}$

$B = A$

$R = \{(a, b) \mid a, \text{ and } b \text{ live in the same country}\}$

$a R a$

$a R b$

$a R b$

then  $b R a$

$b R c \Rightarrow a R c$

} equivalence relation.



$$A = \{\text{people}\} = B$$

$$R = \{ (a, b) \mid \begin{array}{l} a \text{ is older than } b \\ a R b, \quad b R c \end{array} \text{ then } a R c \}$$

A function  $f: A \rightarrow B$  is a relation

$R \subseteq A \times B$  such that

for every  $a \in A$  there is a unique  
 $b \in B$ , denoted by  $f(a)$  such that  
 $a R f(a)$

Example People / Country  $\rightsquigarrow$  function.

Apple / Branche

$a R b \Leftrightarrow a$  was born in  $B$ .

$$A = B = \mathbb{R}$$

$$x \mapsto x^2$$

 $\rightsquigarrow$ 

$$f(x) = x^2$$



$$\leftarrow \mathbb{Q} = \{ (x, y) \mid y = x^2 \}$$

A function can be

1) injective  $x_1 \neq x_2 \Rightarrow f(x_1) \neq f(x_2)$  (1-1)

2) surjective Every  $y \in B$  can be written as  $f(x)$  with  $x \in A$  (onto)

3) bijective if it is both

$$f: A \rightarrow B$$

$$C \subseteq A$$

$$f(C) = \{ y \in B \mid y = f(c) \quad c \in C \}$$

$$D \subseteq B$$

"image of C"

$$f^{-1}(D) = \{ x \in A \mid f(x) \in D \}$$

"pre image of D"

$$D = \{ b \}$$

$$f^{-1}(\{ b \}) = f^{-1}(b)$$



$f^{-1}$

is not the inverse



$f: A \rightarrow B$  bijective

then  $\exists!$   $g: B \rightarrow A$  such that

$$f \circ g(b) = b \quad \text{for all } b \in B$$

$$g \circ f(a) = a \quad \text{for all } a \in A$$

$g$  is called the inverse of  $f$  and denoted by  $f^{-1}$

$$f^{-1}(b) = f^{-1}(f(a)) = \{a\}$$

FUNCTION

$$f^{-1}(b) = a$$

# Counting

cardinality  
↙

**Def:** Let  $n$  be a natural number. We say that a set  $A$  has size  $n$  if there is a bijective function

$$|A| = n$$

$$f: A \longrightarrow \underline{n} := \{1, 2, \dots, n\}$$

Well defined



Pigeon hole principle

## Rule of product

If there are 2 tasks that can be performed in  $n$  ways &  $k$  ways, respectively, then there are  $n \times k$  ways to perform both tasks

$$|A \times B| = |A| \cdot |B|$$



## Rule of sum

If a single task can be performed in  $m$  ways and a second task can be performed in  $k$  ways & the 2 tasks cannot be performed at the same time then we have  $m+k$  ways to perform an action.

FORMAL

$$|A \cup B| = |A| + |B|$$

# Permutations and combinations

$$n! = \begin{cases} 1 & \text{if } n=0 \\ n(n-1)(n-2)\dots 1 & \text{if } n>0 \end{cases}$$

A permutation of size  $r$  of  $n$  objects is an injective map

$$\underline{r} \longrightarrow \underline{n}$$

$P(n, r) = \#$  permutations of size  $r$  of  $n$  objects

$$= \frac{n!}{(n-r)!}$$

$f(1) \rightarrow n$  choices  
 $f(2) \rightarrow n-1$  choices  
 $\vdots$   
 $f(r) \rightarrow n-r+1$  choices

$$\text{rule of product} = n(n-1)\dots(n-r+1) = \frac{n!}{(n-r)!}$$

Combination of size  $r$  of  $n$  objects is  
a subset  $A \in \underline{n}$  such that  $|A| = r$

$$C(n, r) = \# \text{ combination} = \binom{n}{r}$$
$$= \frac{n!}{\overbrace{n!(n-r)!}} = \frac{1}{r!} P(n, r)$$

Repetition

YES

NO

Permutation

$$\frac{n!}{(n-r)!}$$

Combination

$$\binom{n}{r}$$

$$\binom{n!}{r_1 \dots r_r}$$

$$\binom{n+r-1}{r}$$

YES

NO

ORDER

If you allow repetition & the order does not matter

$$\binom{n+r-1}{r}$$



Multi factorial coefficient.

$$\frac{n!}{r_1! \dots r_k!}$$

$$r_1 + \dots + r_k = r$$

# Pigeon holes principle

If there are  $n+1$  pigeons &  $n$  nests then there is at least one nest with 2 pigeons

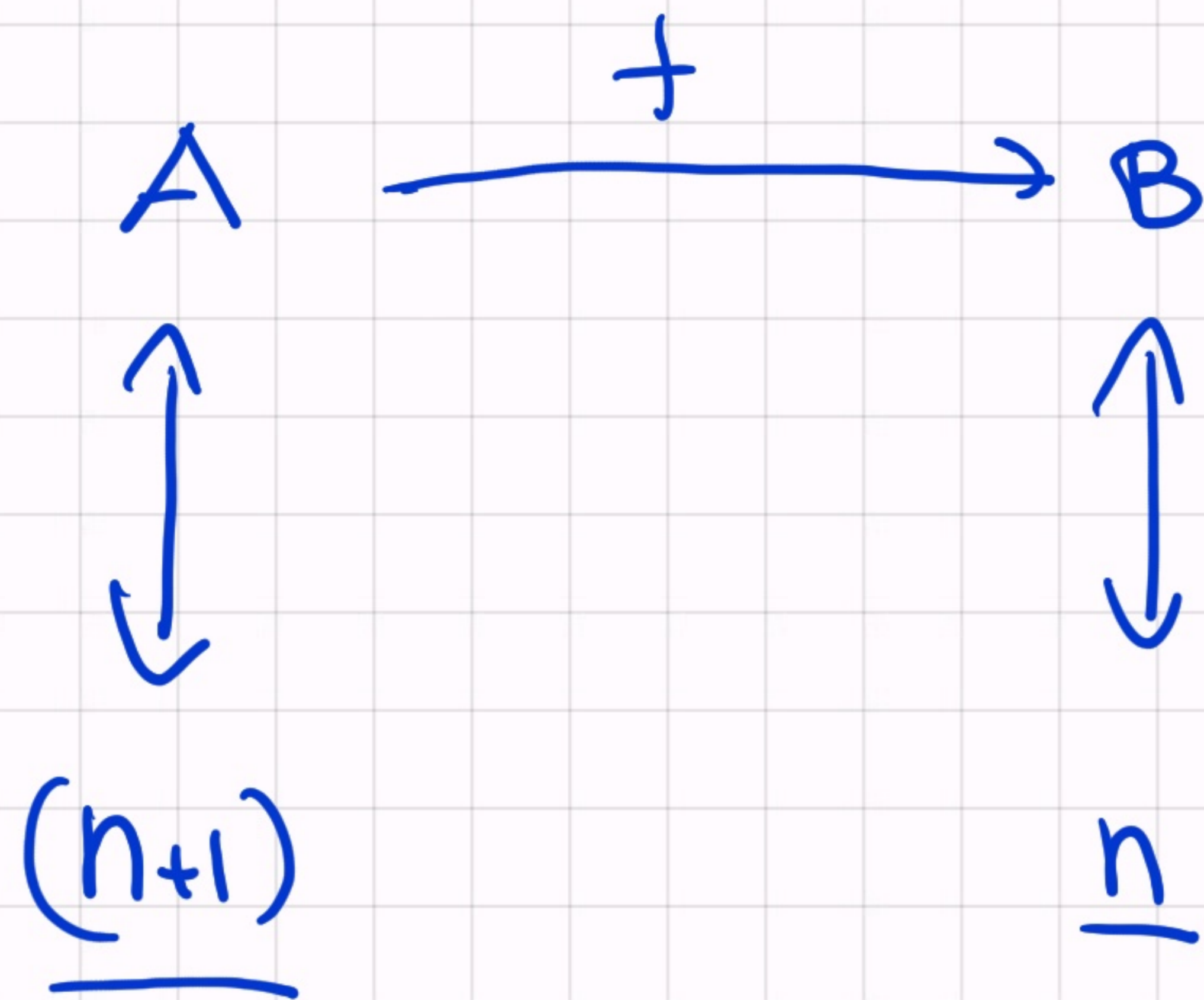
Formal if  $m < k$  then there is not an injective map  $f: k \rightarrow m$ .

$A = \{ \text{set of pigeons} \}$

$B = \{ \text{set of nests} \}$

$$|A| = n+1$$

$$|B| = n$$



$f(a) = \text{next of } a$

injective  $\Leftrightarrow$

$$|f^{-1}(b)| \leq 1$$

by the principle  $\#$  cannot be injective.

there is a  $b \in B$  such that  $f^{-1}(b)$  has size

at least 2



Proof Uses the well ordering of the natural  $\neq$   
"Every  $\neq \emptyset$  subset of the natural numbers has a min"

We reason by contradiction and assume that  
there are  $m > k$  and an injective map

$$f: \underline{m} \rightarrow \underline{k}$$

We consider

$$S := \{ k \in \mathbb{N} \mid \exists m > k \text{ an } f: \underline{m} \rightarrow \underline{k} \text{ injective} \}$$

$\neq \emptyset \Rightarrow$  well ordering  $S$  has a min  $d$

$d \in S$  so there is  $m > d$  and

$f: \underline{m} \rightarrow \underline{d}$  injective

I can assume  $f(m) = d$

Now  $f|_{\underline{(m-1)}}: \underline{(m-1)} \rightarrow \underline{(d-1)}$  injective

$m-1 > d-1$  since  $m > d$

This contradicts our choice of  $d$  ☺

→ Consequence: the size of a set is well defined

$$f: A \longrightarrow \underline{m} \quad \text{bijective}$$

$$g: A \longrightarrow \underline{k} \quad \text{bijective}$$

then we can assume  $m > k$

$$m \neq k$$

$\underline{m}$

$$\xrightarrow{f^{-1}}$$

bij

$A$

$$\xrightarrow{g}$$

$\underline{k}$

bij

injective

∴

cannot be true

## Generalized pigeonholes principle

If  $m > kn$  then for every map  $f: \underline{m} \rightarrow \underline{n}$

there is a  $d \in \{1, \dots, n\}$  such that

$$|f^{-1}(d)| \geq k+1$$

Proof

$$\underline{m} = \bigsqcup_{i \in \underline{n}} f^{-1}(i)$$

$\cup_{j \in \underline{m}}$  then

$$\cup_{j \in \underline{m}} f^{-1}(f(j))$$

$$\underline{m} = \cup_{i \in \underline{n}} f^{-1}(i)$$

we have that

$$f^{-1}(j) \cap f^{-1}(i) = \emptyset$$

if

$$i \neq j$$

$$\text{if } u \in f^{-1}(j) \cap f^{-1}(i)$$

then  $f(u) = \cup$  pre image of  $\cup$   
 $i$  pre image of  $i$

$$\underline{m} = \sqcup f^{-1}(i)$$
$$m = |\underline{m}| = \sum_{i=1}^n |f^{-1}(i)| \quad (\text{rule of sum})$$

Assume the principle is not true  $|f^{-1}(i)| \leq k$

$m \leq k \cdot n$  contradiction

⊥

*Example:*

↗ 2 people

At a party 5 teams play a series of 1-1 games. There are two rules

- None can play twice against the same adversary
- One cannot play against their teammates.

At the end Aragon asks how many games has everyone played and get different answers.

How many games has Bilbo (Aragon's team mate) has played?

5 teams 10 players.

Every players can play at most 8 games

Arrogorn ask to 9 players how many game they have played - 9 different answer.

Answer  $\in \{0, 1, 2, \dots, 8\}$

You get all the answer

0 1 2 3 4 5 6 7 8

Bilbo has no "partner" in the list

Bilbo played

4 games.

