

- (1) Put  $X = \{n \in \mathbb{N} : n \geq 2\}$  and, for each  $m \in X$ , put  $S_m = \{n \in X : n \text{ divides } m\}$ .
- (a) [1 pt] Show that the sets  $S_m$ , for  $m \in X$ , form a basis of a topology on  $X$ .
  - (b) [1 pt] For any  $n \in X$ , compute the closure of the set  $\{n\}$  in  $X$ .
  - (c) [1 pt] Show that the subspace topology on  $P \subset X$ , where  $P$  consists of all prime numbers, is discrete.
  - (d) [1 pt] Show that a subset of  $X$  is compact if and only if it is finite.
  - (e) [2 pts] Show that  $X$  is path connected and locally path connected.  
*Hint: Find paths between points  $n \in X$  and points  $nk \in X$  for  $k \in \mathbb{N}$ .*

**Solution:**

(a) In  $\mathbb{N}$ ,  $n$  divides both  $m$  and  $m'$  if and only if  $n$  divides  $d = \gcd(m, m')$ . Thus  $S_m \cap S_{m'} = S_d$  if  $d > 1$  and  $\emptyset$  if  $d = 1$ . Each  $m \in X$  belongs to  $S_m$ , so  $\bigcup_{m \in X} S_m = X$ . Therefore the collection  $\{S_m \mid m \in X\}$  is a basis for a topology on  $X$ .

(b) It will be more convenient to first compute the interior of  $\{n\}^c$ , which is the union of all open sets contained in  $\{n\}^c$ . These open sets are exactly the unions of those basic open sets  $S_m$  that are contained in  $\{n\}^c$ , ie those  $S_m$  that do not contain  $n$ . In other words,

$$\text{Int}(\{n\}^c) = \bigcup_{n \text{ does not divide } m \in X} S_m.$$

But this union is exactly equal to  $\{d \in X \mid n \text{ does not divide } d\}$ : if  $n$  does not divide  $d$  then we can take  $m = d$ , and conversely if  $n$  divides  $d$  and  $d \in S_m$  then  $n$  divides  $m$  so  $d$  cannot be in the above union. Thus

$$\overline{\{n\}} = (\text{Int}(\{n\}^c))^c = \{d \in X \mid n \text{ divides } d\}.$$

(c) Since distinct primes cannot divide each other,  $S_p \cap P = \{p\}$  for each  $p \in P$ . Since  $S_p$  is open in  $X$  by definition, it follows that  $\{p\}$  is open in the subspace topology on  $P$ , which implies that this topology is discrete.

(d) Any topology on a finite set  $F$  makes it compact since choosing an element of the open cover containing each point of  $F$  produces a finite subcover. Conversely, suppose  $K \subset X$  is compact and consider the cover of  $K$  by  $\{S_m \mid m \in K\}$ ; this is a cover since  $m \in S_m$  for  $m \in X$ . So  $K$  is covered by finitely many  $S_m$ , and since each  $S_m$  is finite,  $K$  is a subset of a finite union of finite sets, in particular is itself finite.

(e) Suppose  $k, n \in X$  are such that  $k$  divides  $n$ . Now any open set containing  $n$  must contain some  $S_m$  such that  $n$  divides  $m$  and therefore must also contain  $k$ . It follows that the function  $f : [0, 1] \rightarrow \{k, n\} \subset X$  given by

$$f(t) = \begin{cases} k & \text{if } t < 1, \\ n & \text{if } t = 1. \end{cases}$$

is continuous, ie there is a path in  $\{k, n\}$  from  $k$  to  $n$ : we have checked that  $\{n\}$  is not open in  $\{k, n\}$  and the preimage of each of the other three subsets of  $\{k, n\}$  under  $f$  is open in  $[0, 1]$  by construction.

Now each  $S_m$  is path connected: if  $n \in S_m$  then there is a path in  $\{n, m\} \subset S_m$  connecting  $n$  and  $m$ . It follows that  $X$  is locally path connected: any open set containing  $n \in \mathbb{N}$  contains some basic neighborhood  $S_m$  of  $n$ .

To see that all of  $X$  is path connected, consider any  $m, n \in X$ . By above, there are paths between  $m$  and  $mn$  and between  $mn$  and  $n$ . Concatenating, we get a path between  $m$  and  $n$ .

- (2) Let  $X$  be a topological space and consider the following three statements:
- (1)  $X$  is Hausdorff.
  - (2) If a sequence  $(x_n)_{n=1}^\infty$  in  $X$  converges to a limit  $x \in X$ , then the limit is unique.
  - (3) The set  $\{x\}$  is closed in  $X$  for every  $x \in X$ .

Show that:

- (a) [1 pt] (1) implies (2)
- (b) [1 pt] (2) implies (3)
- (c) [2 pts] (3) does not imply (2)
- (d) [2 pts] (2) does not imply (1).

*Hint: Consider for instance the topology on a set  $X$  for which  $U \subseteq X$  is open iff  $U = \emptyset$  or  $X \setminus U$  is a countable set.*

**Solution:**

(a) If  $x, y \in X$  are distinct then they have disjoint neighborhoods  $U$  and  $V$  respectively. A sequence in  $X$  cannot eventually be in both  $U$  and  $V$  and therefore cannot converge to both  $x$  and  $y$ . Therefore limits of sequences in  $X$  are unique, if they exist.

(b) Let  $x, y \in X$  be distinct. We want to show that there is a neighborhood of  $y$  that does not contain  $x$ . Consider the constant sequence  $x_n = x$  in  $X$ . Every neighborhood of  $x$  contains every  $x_n$  so it converges to  $x$  and, since limits are unique, does not converge to  $y$ . So there must be a neighborhood  $U$  of  $y$  such that  $x_n$  is not eventually in  $U$ , but the only way this can happen is if  $x \notin U$ .

(c) Consider the cofinite topology on an infinite set  $X$ , ie a subset  $U$  is open if and only if  $X \setminus U$  is finite or  $U = \emptyset$ . Since  $\{x\}$  is finite,  $X \setminus \{x\}$  is open, ie  $\{x\}$  is closed. Consider a sequence  $(x_n)$  in  $X$  that takes any value at most once, ie an injection  $\mathbb{N} \rightarrow X$  (which exists since  $X$  is infinite). Now for any  $x \in X$  and any open set  $U \ni x$ , since  $X \setminus U$  is finite there are only finitely many  $n$  such that  $x_n$  is in  $X \setminus U$ . In other words,  $x_n$  is in  $U$  for all but finitely many  $n$ . Since  $x$  and  $U$  are arbitrary, it follows that  $(x_n)$  converges to every point of  $X$ , in particular does not have a unique limit.

(d) We will use *countable* so as to include finite. Consider the cocountable topology on an uncountable set  $X$ , ie a subset  $U$  is open if and only if  $X \setminus U$  is countable or  $U = \emptyset$ . Since the union of two countable sets is countable and therefore not all of  $X$ , the intersection of any two non-empty open sets of  $X$  is non-empty and so  $X$  cannot be Hausdorff. Consider any sequence  $(x_n)$  in  $X$  and let  $A = \{x_n\}$ , the collection of all the values taken by  $(x_n)$ , which must be a countable set. Now for any  $x \in X$ , let  $U = X \setminus (A \setminus \{x\})$ , which is an open neighborhood of  $\{x\}$ . The only value in  $U$  that  $x_n$  can take is  $x$  so if  $x_n$  converges to  $x$  then we must have  $x_n = x$  for large  $n$ . Thus there can be at most one such  $x$ .

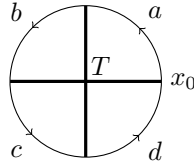
- (3) (a) [3 pts] Let  $X$  be the union of  $\mathbb{S}^2 \subset \mathbb{R}^3$  with  $Y_1 = \{(x, 0, 0) : x \in [-1, 1]\}$  and  $Y_2 = \{(0, y, 0) : y \in [-1, 1]\}$ . Compute the fundamental group of  $X$ .
- (b) [3 pts] Let  $Z$  be the union of  $\mathbb{S}^2 \subset \mathbb{R}^3$  with the three coordinate planes. Compute the fundamental group of  $Z$ .

*For this exercise you are free to argue a bit more intuitively (e.g. using pictures instead of formulas to define homotopies).*

**Solution:**

(a) Let  $\Gamma$  be the subspace  $X \cap (\mathbb{R}^2 \times 0)$ , which has fundamental group freely generated by the four edges  $a, b, c, d$  considered as loops at  $x_0 = (1, 0, 0)$  via paths in the tree  $T$

as in the following figure:



Further,  $X$  is obtained by attaching two disks to  $\Gamma$  along the boundary circle, which represents the element  $abcd$  in  $\pi_1(\Gamma, x_0) \cong \langle a, b, c, d \mid \emptyset \rangle$ : since either hemisphere of the sphere is a disk, there is a continuous bijection  $\Gamma \cup_{abcd} D^2 \cup_{abcd} D^2 \rightarrow X$  which must be a homeomorphism since the domain is compact and the target  $X$  is Hausdorff. Therefore

$$\pi_1(X, x_0) \cong \langle a, b, c, d \mid abcd \rangle \cong \langle a, b, c \mid \emptyset \rangle$$

where the second isomorphism is given by mapping  $d \mapsto (abc)^{-1}$  (and  $a, b, c$  by “identity”). In words, the required fundamental group (observe that  $X$  is path connected) is a free group on three generators.

(b) Similar to above,  $Z$  is obtained by attaching eight disks (one for each orthant) to the union of the coordinate planes, which we will denote by  $Z'$ . To see this, note that  $Z \cap \overline{B}(0, 2)$  (here  $\overline{B}$  denotes the closed ball) is obtained by gluing eight disks to  $Z' \cap \overline{B}(0, 2)$  by the same argument as part (a): there is a continuous bijection from  $Z' \cap \overline{B}(0, 2) \cup \bigcup_8 D^2$ , which is compact, to  $Z \cap \overline{B}(0, 2)$ , which is Hausdorff. Further, this homeomorphism can be chosen to be identity on  $Z' \cap \overline{B}(0, 2)$  and therefore extends by identity to all of  $Z$ . But  $Z'$  is contractible by the map  $(x, t) \mapsto tx$ . Therefore  $\pi_1(Z)$ , being a quotient of  $\pi_1(Z')$ , is a trivial group.

- (4) Say that  $f : X \rightarrow Y$  is a proper local homeomorphism, with  $X$  and  $Y$  locally compact and connected, Hausdorff spaces. Say also that  $X$  is non-empty and locally path connected.
- [2 pts] Show that  $f$  is an open and closed map, and therefore surjective.
  - [1 pt] For each point  $y \in Y$ , show that  $f^{-1}(y)$  consists of a finite set of points.
  - [2 pts] For each point  $y \in Y$ , show that there are disjoint open neighborhoods  $U_x$  of each point of  $f^{-1}(y)$  mapping homeomorphically onto their image.
  - [1 pt] Show that  $V = \bigcap_{x \in f^{-1}(y)} f(U_x) \setminus f(X \setminus \bigcup_{x \in f^{-1}(y)} U_x)$  is evenly covered, and use this to conclude that  $f$  is a covering map.

**Solution:**

(a) Since  $f$  is a local homeomorphism it is an open map: any open set  $U$  of  $X$  can be written as  $\bigcup U_\alpha$  such that  $f : U_\alpha \rightarrow V_\alpha = f(U_\alpha)$  is a homeomorphism with open sets  $V_\alpha \subset Y$ , so  $f(U) = \bigcup V_\alpha$  is open. On the other hand it is also a closed map: suppose  $C \subset X$  is closed and  $y \in Y \setminus f(C)$ . Since  $Y$  is locally compact, there is a neighborhood  $V$  of  $y$  contained in a compact subset  $K$  of  $Y$ . Then  $f^{-1}(K) \cap C$  is a closed subset of the compact set  $f^{-1}(K)$  and therefore it is compact. It follows that  $f(f^{-1}(K) \cap C)$  is also compact, hence closed (since  $Y$  is Hausdorff). Therefore  $V \setminus f(f^{-1}(K) \cap C)$  is an open neighborhood of  $y$ . It suffices to check that  $V \setminus f(f^{-1}(K) \cap C)$  is disjoint from  $f(C)$ . Pick an arbitrary  $y' \in V \cap f(C)$ , so  $y' = f(x)$  for some  $x \in C$ . Since  $f(x) = y' \in V \subset K$ , in fact  $x \in f^{-1}(K) \cap C$  and therefore  $y' \in f(f^{-1}(K) \cap C)$ . Since  $X$  is non-empty, so is  $f(X)$ . Since  $Y$  is connected and  $f(X)$  is a non-empty open and closed subset,  $f(X)$  must equal  $Y$ .

(b) Since  $f$  is a local homeomorphism, it is in particular locally injective, so every  $x \in f^{-1}(y)$  has a neighborhood  $U$  such that  $f^{-1}(y) \cap U = \{x\}$ . It follows that  $f^{-1}(y)$  is discrete, but it is also compact since  $f$  is proper and  $\{y\}$  is compact. But a compact discrete space must be finite, by considering the open cover by singletons.

(c) Let  $f^{-1}(y) = \{x_1, \dots, x_n\}$ , as per part (a). Since  $f$  is a local homeomorphism, there are neighborhoods  $U'_i$  of  $x_i$  such that  $f|_{U'_i} : U'_i \rightarrow f(U'_i)$  are homeomorphisms and  $f(U'_i)$  are open in  $Y$ . Since  $X$  is Hausdorff, we can also find disjoint neighborhoods  $U_{ij}$  and  $U_{ji}$  of  $x_i$  and  $x_j$  respectively, for each  $j \neq i$ . Let  $U_{x_i} = U_i := U'_i \cap \bigcap_{j \neq i} U_{ij}$ , which is a finite intersection of neighborhoods of  $x_i$  and therefore is itself a neighborhood of  $x_i$ . Since  $U_i \subset U'_i$ , the restriction  $f|_{U_i}$  is also a homeomorphism onto its image. Further, since  $U_i \subset U_{ij}$  and  $U_j \subset U_{ji}$ , it follows that  $U_i \cap U_j = \emptyset$  for  $i \neq j$ .

(d) By the above construction,  $V_i = f(U_i)$  are open neighborhoods of  $y$  and  $f|_{U_i} : U_i \rightarrow V_i$  are homeomorphisms. Let  $V = (\bigcap V_i) \setminus f(X \setminus \bigcup U_i)$ . From part (a),  $f$  is a closed map, so  $f(X \setminus \bigcup U_i)$  is closed. Since  $f$  is also an open map (also from part (a)),  $\bigcap V_i$  is open and therefore so is  $V$ . Since  $x_i \in U_i$ , we get  $y \in \bigcap V_i$  and since  $f^{-1}(y) \subset \bigcup U_i$  we get  $y \in V$ . In general, if  $x \notin \bigcup U_i$  then  $f(x) \notin V$  so, by taking the contrapositive,  $f^{-1}(V) \subset \bigcup U_i$  and therefore  $f^{-1}(V) = \bigcup (f^{-1}(V) \cap U_i)$ . Since we've constructed  $U_i$  to be pairwise disjoint, so are  $f^{-1}(V) \cap U_i$ . Finally, since  $V \subset V_i$  and  $f|_{U_i} : U_i \rightarrow V_i$  is a homeomorphism, so is its restriction  $f|_{f^{-1}(V) \cap U_i} : f^{-1}(V) \cap U_i \rightarrow V$ . This is precisely what it means for  $V$  to be evenly covered.

- (5) Prove the theorem of *Existence of the Universal Covering Space*. More precisely, fix a point  $x_0$  in a locally simply connected topological space  $X$ , let  $\tilde{X}$  be the set of path classes starting at  $x_0$  and define a map  $q : \tilde{X} \rightarrow X$  by  $q([f]) = f(1)$ . Then:
- (a) [2 pts] Define a topology on  $\tilde{X}$ .  
Assume it now to be known that  $\tilde{X}$  is path connected.
  - (b) [2 pts] Show that  $q$  is a covering map.
  - (c) [2 pts] Show that  $\tilde{X}$  is simply connected.

**Solution:** This is part of Theorem 11.43 from the book, and its proof can be found there.